

# Enabling Building Energy Auditing Using Adapted Occupancy Models

**Ankur U. Kamthe**, Varick L. Erickson,  
Miguel Á. Carreira-Perpiñán and Alberto E. Cerpa  
{akamthe,verickson,mcarreira-perpinan,acerpa}@ucmerced.edu

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# HVAC Systems

Heating, Ventilation and Air-Conditioning (HVAC) systems account for majority ( $\approx 50\%$ ) of building energy consumption (2008)\*.

- ▶ Assumption: Condition based on maximum room occupancy
- ▶ Rooms are often unoccupied or partially occupied
- ▶ Leads to inefficient environmental conditioning
- ▶ Optimize energy usage using systems that actuate using occupancy models

Alternatively, ensure that buildings adhere to the strictest energy efficiency standards.

\* Source: Building Energy Data Book (<http://buildingsdatabook.eren.doe.gov/docs/htm/1.1.4.htm>)

# So where are all the green buildings?



Figure: South Hall - UC Berkeley (built 1873)

- ▶ Majority of existing buildings are older than 20 years.
- ▶ Do not meet current energy efficiency construction standards.
- ▶ Impact long-term energy consumption.
- ▶ Energy audits: energy savings through retrofitting.

# Building Energy Auditing

- ▶ Involves inspection and analysis of the energy consumption from utility bills.
- ▶ Deploy sensors on-site to measure and verify energy use.
- ▶ Onsite work takes 1-2 days.
- ▶ Data is input to DOE-2, EnergyPlus, etc. to evaluate and recommend energy retrofits.

Further, maximize energy savings by including occupancy model information within energy audits.

# Occupancy model caveats

- ▶ Large training datasets (weeks, months).
- ▶ Models are specific to the building.
- ▶ Therefore, for all other buildings, again collect large training dataset.

# Problem Statement

How can we maximize energy savings by using occupancy models in building energy audits when collecting only 1-2 days of occupancy traces?

# Occupancy Modeling

- ▶ Modern buildings have submetering systems, electronic locking systems, etc.
- ▶ Use of wireless sensor networks for other buildings:
  - ▶ PIR sensors - binary indicators.
  - ▶ Camera sensors - people counters.
- ▶ Data collection goal: collect data for 1-2 days.

# Proposed Approach

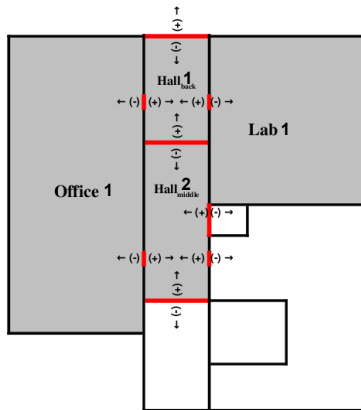
Use a **reference building occupancy model** that has been trained with extensive data and adapt it to the new building given a far **smaller occupancy data trace** than would be necessary to train a new model from scratch.



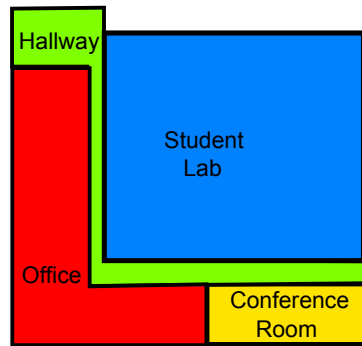
## Reference Model

- ▶ Mixture of multivariate Gaussians ( $M$ ) components in place of a single multivariate Gaussian for every hour.
- ▶ Parameters: means ( $\mu$ ) and covariance matrix ( $\Sigma$ ) for each hour.
- ▶  $(D + 1)M + D^2 - 1$  parameters for every hour ( $D = \#rooms$ ).
- ▶ Use Expectation-Maximization (EM) algorithm for parameter estimation (**Retraining**).

# Reference and Adaptation Model Datasets

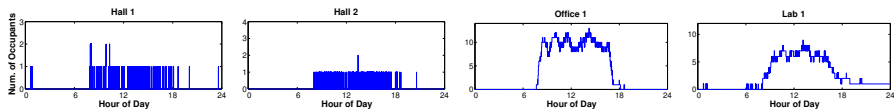


(a)  
Reference

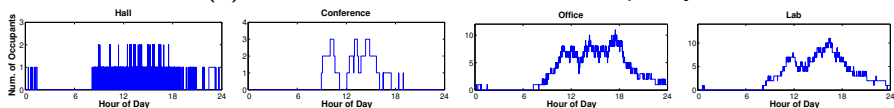


(b)  
Adaptation

# Reference and Adaptation Model Datasets



(a) Reference Dataset Room Occupancy



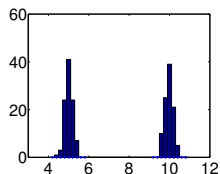
(b) Adaptation Dataset Room Occupancy

**Figure:** Room occupancy averaged over the length of dataset (5-days) for every hour for the reference model (a) and adaptation (and retrained) model (b), respectively.

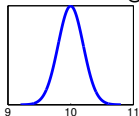
# Adapted Model

- ▶ Assumptions: Well-trained reference model and occupancy data for target (audited) building.
- ▶ **Adaptation Approach: Tie the means of the reference model using a non-linear transformation.**

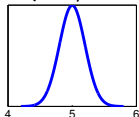
# Adaptation Illustration with 2 Rooms



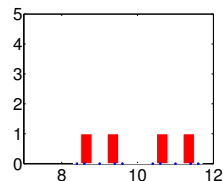
Ref Data Histogram



Comp 1  $\mu_1 = 10$

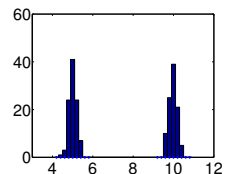


Comp 2  $\mu_2 = 5$

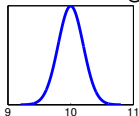


Adapt Data Histogram

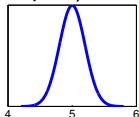
# Adaptation Illustration with 2 Rooms



Ref Data Histogram



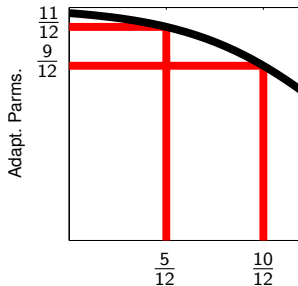
Comp 1  $\mu_1 = 10$



Comp 2  $\mu_2 = 5$

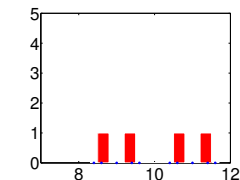
$$\tilde{\mu}_d = \frac{O_m}{1 + e^{-(a\mu_d/O_m + b)}}$$

$$a = -3.1183 \quad b = 3.6972$$

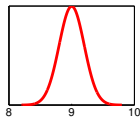


Sigmoid Transformation

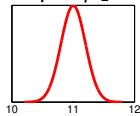
$$O_m = 12$$



Adapt Data Histogram



Comp 1  $\tilde{\mu}_1 = 9$



Comp 2  $\tilde{\mu}_2 = 11$

## Adapted vs Retrained Model

- ▶ The objective function is the log-likelihood of the adaptation data given the constrained MVGM with  $3M - 1$  free parameters:

$$L\left(\{\tilde{\pi}_m, a_m, b_m\}_{m=1}^M\right) = \sum_{n=1}^N \log \sum_{m=1}^M \tilde{\pi}_m p(\mathbf{x}_n; a_m, b_m)$$

- ▶ Adaptation:  $3M - 1$  adaptation parameters.
- ▶ Retraining objective function

$$L\left(\{\tilde{\pi}_m, \mu_{hm}, \Sigma_h\}_{m=1}^M\right) = \sum_{n=1}^N \log \sum_{m=1}^M \tilde{\pi}_m p(\mathbf{x}_n; \mu_{hm}, \Sigma_h)$$

- ▶ Retraining:  $(D + 1)M + D^2 - 1$  parameters for every hour.

# Modeling Performance

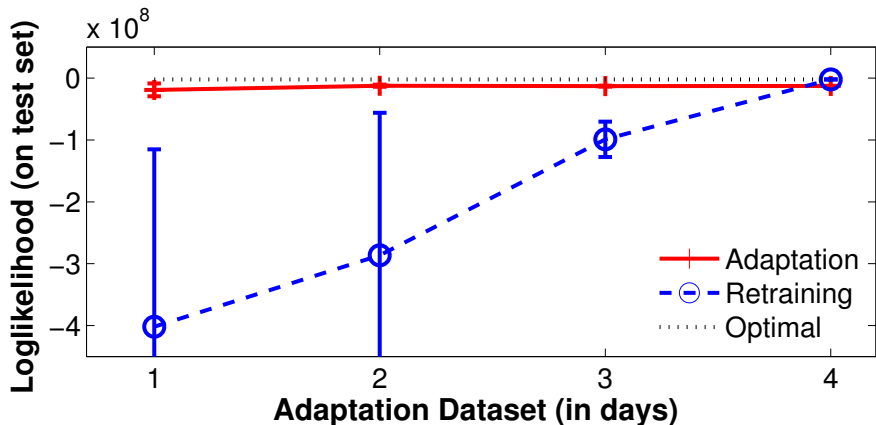
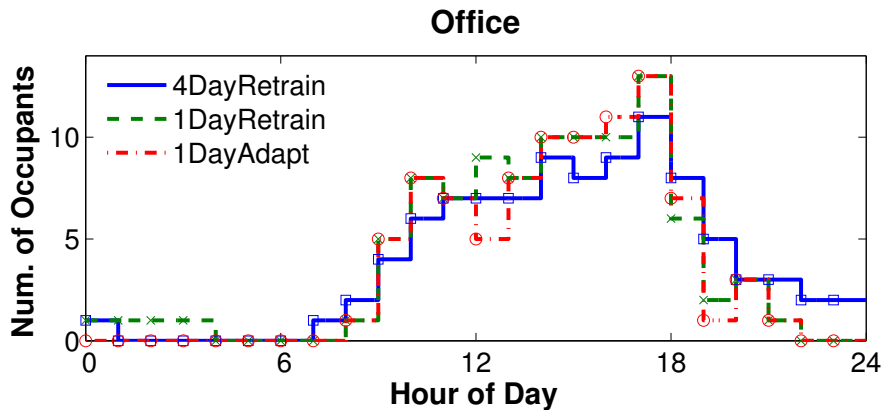


Figure: Log-likelihood of the different models as a function of the days in the adaptation dataset.

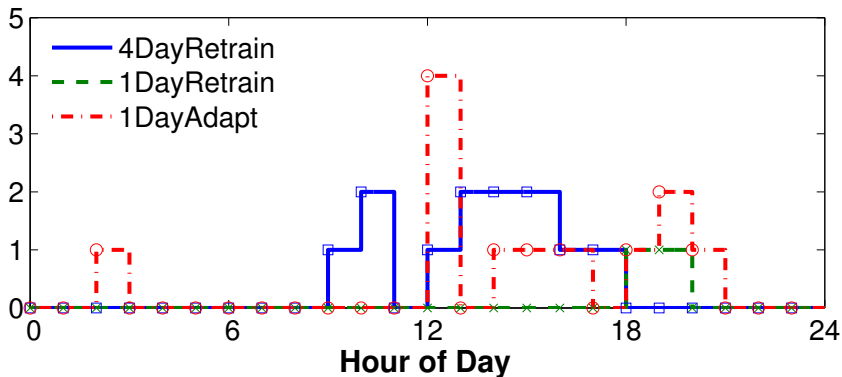


# Estimated occupancy models



# Estimated occupancy models

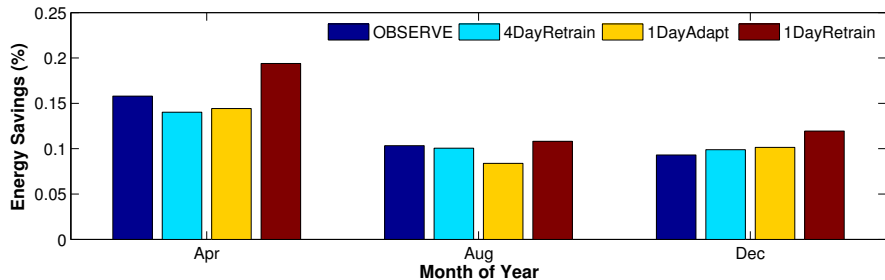
## Conference Room



# Building Energy Simulation Results

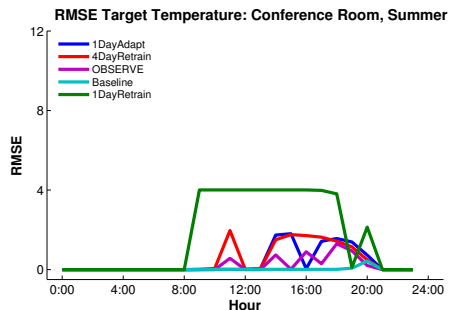
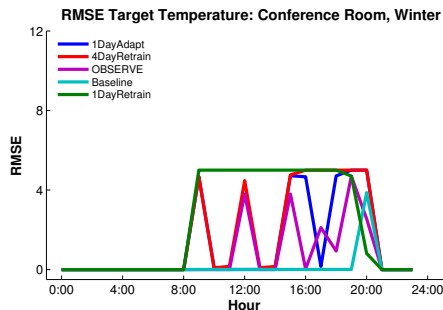
- ▶ Construct occupancy schedule using models 4DayRetrain (MVGM-R4), 1DayRetrain (MVGM-R1) and 1DayAdapt (MVGM-A1).
- ▶ EnergyPlus model of the building floorplan (total 32,000 sq.ft.) from which we have adaptation data for a Hall, Office, Lab and Conference room (approx. 12,000 sq.ft.)
- ▶ Compare to:
  - ▶ Baseline: maximum room occupancy between 7a.m.-10p.m. and is off at other times.
  - ▶ OBSERVE: Markov chain approach to model the temporal changes in occupancy of a building. Close to optimal conditioning.

# Energy Savings



1DayAdapt (10.9%) < OBSERVE (11.2%) < 1DayAdapt (11.4%) < 1DayRetrain (12.9%)

# Conditioning Effectiveness



Summer:

4DayRetrain, OBSERVE, 1DayAdapt ( $< 0.5^{\circ}\text{F}$ )  $<$  1DayRetrain ( $1.8^{\circ}\text{F}$ )

Winter:

4DayRetrain, OBSERVE, 1DayAdapt ( $< 1.4^{\circ}\text{F}$ )  $<$  1DayRetrain ( $2.4^{\circ}\text{F}$ )

# Lessons Learned

- ▶ Retraining with little data leads to poor models.
- ▶ Adapted model generalizes well ..... if the reference model is close enough to the new adaptation occupancy data.

# Summary

- ▶ Use model adaptation for construction of good-quality occupancy models with 1-day of occupancy data.
- ▶ Conditioning effectiveness on par with other models that require 4 times as much training data.
- ▶ Energy auditing improvements using adapted occupancy models.

Thank You ....

Questions?