# CSE 135: Introduction to Theory of Computation Closure Properties of CFLs 

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## Union of CFLs

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Closure of CFLs under Union
$G=(V, \Sigma, R, S)$ such that $L(G)=L\left(G_{1}\right) \cup L\left(G_{2}\right)$ :

- $V=V_{1} \cup V_{2} \cup\{S\}$ (the three sets are disjoint)
- $\Sigma=\Sigma_{1} \cup \Sigma_{2}$
- $R=R_{1} \cup R_{2} \cup\left\{S \rightarrow S_{1} \mid S_{2}\right\}$


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As before, ensure that $V_{1} \cap V_{2}=\emptyset . S$ is a new start symbol.


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(Exercise: Complete the Proof!)


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- But $L_{1} \cap L_{2}=\left\{a^{n} b^{n} c^{n} \mid n \geq 0\right\}$ is not a CFL.


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Why does this construction not work for intersection of two CFLs?

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But $\bar{L}=\left\{w w \mid w \in\{a, b\}^{*}\right\}$ is not a CFL! (Why?)


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$L \backslash R=L \cap \bar{R}$

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Given a CFG $G$ with start symbol $S$, is $L(G)$ empty? Solution: Check if the start symbol $S$ is generating. How long does that take?

## Determining generating symbols

Algorithm

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Gen = {}
for every rule A->x where x\in \Sigma*
    Gen = Gen \cup{A}
repeat
    for every rule A->\gamma
        if all variables in }\gamma\mathrm{ are generating then
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until Gen does not change
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- Each iteration of repeat-until loop discovers a new variable. So number of iterations is $O(n)$. And total is $O\left(n^{2}\right)$.


## Membership Problem

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Central question in parsing.

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- Construct all possible parse (binary) trees and check if any of them is a valid parse tree for $w$
- Number of parse trees of size $2 n-1$ is $k^{2 n-1}$ where $k$ is the number of variables in $G$. So algorithm is exponential in $n$ !


## "Simple" Solution

- Let $|w|=n$. Since $G$ is in Chomsky Normal Form, $w$ has a parse tree of size $2 n-1$ iff $w \in L(G)$
- Construct all possible parse (binary) trees and check if any of them is a valid parse tree for $w$
- Number of parse trees of size $2 n-1$ is $k^{2 n-1}$ where $k$ is the number of variables in $G$. So algorithm is exponential in $n$ !
- We will see an algorithm that runs in $O\left(n^{3}\right)$ time (the constant will depend on $k$ ).


## First Ideas

## Notation

Suppose $w=w_{1} w_{2} \cdots w_{n}$, where $w_{i} \in \Sigma$. Let $w_{i, j}$ denote the substring of $w$ starting at position $i$ of length $j$. Thus,

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For every $A \in V$, and every $i \leq n, j \leq n+1-i$, we will determine if $A \stackrel{*}{\Rightarrow} w_{i, j}$.

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Now, $w \in L(G)$ iff $S \stackrel{*}{\Rightarrow} w_{1, n}=w$; thus, we will solve the membership problem.
How do we determine if $A \stackrel{*}{\Rightarrow} w_{i, j}$ for every $A, i, j$ ?

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Substrings of length 1

Observation
For any $A, i, A \stackrel{*}{\Rightarrow} w_{i, 1}$ iff $A \rightarrow w_{i, 1}$ is a rule.

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Thus, for each $A$ and $i$, one can determine if $A \stackrel{*}{\Rightarrow} w_{i, 1}$.


## Inductive Step

Longer substrings

Suppose for every variable $X$ and every $w_{i, \ell}$ $(\ell<j)$ we have determined if $X \stackrel{*}{\Rightarrow} w_{i, \ell}$

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- $A \stackrel{*}{\Rightarrow} w_{i, j}$ iff there are variables $B$ and $C$ and some $k<j$ such that $A \rightarrow B C$ is a rule, and $B \stackrel{*}{\Rightarrow} w_{i, k}$ and $C \stackrel{*}{\Rightarrow} w_{i+k, j-k}$


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- Since $k$ and $j-k$ are both less than $j$, we can inductively determine if $A \stackrel{*}{\Rightarrow} w_{i, j}$.


## Cocke-Younger-Kasami (CYK) Algorithm

Algorithm maintains $X_{i, j}=\left\{A \mid A \stackrel{*}{\Rightarrow} w_{i, j}\right\}$.
Initialize: $\quad X_{i, 1}=\left\{A \mid A \rightarrow w_{i, 1}\right\}$
for $j=2$ to $n$ do

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\begin{aligned}
& \text { for } i=1 \text { to } n-j+1 \text { do } \\
& X_{i, j}=\emptyset \\
& \quad \text { for } k=1 \text { to } j-1 \text { do } \\
& \quad X_{i, j}=X_{i, j} \cup\left\{A \mid A \rightarrow B C, B \in X_{i, k}, C \in X_{i+k, j-k}\right\}
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Consider grammar
$S \rightarrow A B|B C, A \rightarrow B A| a, B \rightarrow C C|b, C \rightarrow A B| a$ Let $w=$ baaba. The sets $X_{i, j}=\left\{A \mid A \stackrel{*}{\Rightarrow} w_{i, j}\right\}:$

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| $j / i$ | 1 | 2 | 3 | 4 | 5 |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 5 |  |  |  |  |  |
| 4 |  |  |  |  |  |
| 3 |  |  |  |  |  |
| 2 |  |  |  |  |  |
| 1 | $\{B\}$ | $\{A, C\}$ | $\{A, C\}$ | $\{B\}$ | $\{A, C\}$ |
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| 5 |  |  |  |  |  |
| 4 | $\emptyset$ | $\{S, A, C\}$ |  |  |  |
| 3 | $\emptyset$ | $\{B\}$ | $\{B\}$ |  |  |
| 2 | $\{S, A\}$ | $\{B\}$ | $\{S, C\}$ | $\{S, A\}$ |  |
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All these problems are undecidable.

