## CSE 135: Introduction to Theory of Computation Non-regular languages and Pumping Lemma

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#### Finite Languages

#### Definition

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## Finite Languages

#### Definition

A language is finite if it has finitely many strings.

#### Example

 $\{0,1,00,10\}$  is a finite language, however,  $(00\cup 11)^*$  is not.

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Finiteness and Regularity

Proposition If L is finite then L is regular.

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#### Finiteness and Regularity

#### Proposition If L is finite then L is regular.

# Proof. Let $L = \{w_1, w_2, \dots, w_n\}$ . Then $R = w_1 \cup w_2 \cup \dots \cup w_n$ is a regular expression defining L.

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#### Proposition

The language  $L_{eq} = \{w \in \{0,1\}^* \mid w \text{ has an equal number of 0s and 1s}\}$  is not regular.

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Above is a weak argument because  $E = \{w \in \{0,1\}^* \mid w \text{ has an equal number of 01 and 10 substrings}\}$  is regular!

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Suppose (for contradiction)  $L_{eq}$  is recognized by DFA  $M = (Q, \{0, 1\}, \delta, q_0, F)$ , where |Q| = n.

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• Let 
$$x = 0^j$$
,  $y = 0^{k-j}$ , and  $z = 0^{n-k}1^n$ ; so  $xyz = 0^n1^n$ .  $\cdots \rightarrow$ 

Proof (contd).



• We have 
$$\hat{\delta}(q_0, 0^j) = \hat{\delta}(q_0, 0^k) = q$$

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$$(q_0) \qquad x = 0^j \qquad (q_1) \qquad z = 0^{n-k} 1^n \qquad (q')$$

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$$\hat{\delta}(q_0,0^n1^n) = \hat{\delta}(\hat{\delta}(q_0,0^k),0^{n-k}1^n)$$

Proof (contd).

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 $\hat{\delta}(q_0, 0^n 1^n) = \hat{\delta}(\hat{\delta}(q_0, 0^k), 0^{n-k} 1^n) \qquad (\text{since } \hat{\delta}(q, uv) = \hat{\delta}(\hat{\delta}(q, u), v))$ 

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Proof (contd).

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Proof (contd).

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Proof (contd).

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• So M accepts  $0^{n-k+j}1^n$  as well.

Proof (contd).

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► So *M* accepts  $0^{n-k+j}1^n$  as well. But,  $0^{n-k+j}1^n \notin L_{eq}!$ 

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## Pumping Lemma: Overview

#### Pumping Lemma

The lemma generalizes this argument. Gives the template of an argument that can be used to easily prove that many languages are non-regular.

Lemma

If L is regular then there is a number p (the pumping length) such that  $\forall w \in L$  with  $|w| \ge p$ ,  $\exists x, y, z \in \Sigma^*$  such that w = xyz and

The Statement

#### Lemma

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- 1. |y| > 0
- 2.  $|xy| \leq p$

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- 1. |y| > 0
- 2.  $|xy| \leq p$
- 3.  $\forall i \geq 0$ .  $xy^i z \in L$

# Proof. Let $M = (Q, \Sigma, \delta, q_0, F)$ be a DFA such that L(M) = L and let p = |Q|.

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# Proof. Let $M = (Q, \Sigma, \delta, q_0, F)$ be a DFA such that L(M) = L and let p = |Q|. Let $w = w_1 w_2 \cdots w_n \in L$ be such that $n \ge p$ . For $1 \le i \le n$ , let $s_i = \hat{\delta}(q_0, w_1 \cdots w_i)$ ; define $s_0 = q_0$ .

#### Proof.

Let  $M = (Q, \Sigma, \delta, q_0, F)$  be a DFA such that L(M) = L and let p = |Q|. Let  $w = w_1 w_2 \cdots w_n \in L$  be such that  $n \ge p$ . For  $1 \le i \le n$ , let  $s_i = \hat{\delta}(q_0, w_1 \cdots w_i)$ ; define  $s_0 = q_0$ .

Since  $s_0, s_1, \ldots, s_i, \ldots s_p$  are p + 1 states, there must be j, k,  $0 \le j < k \le p$  such that  $s_j = s_k$  (= q say).

#### Proof.

Let  $M = (Q, \Sigma, \delta, q_0, F)$  be a DFA such that L(M) = L and let p = |Q|. Let  $w = w_1 w_2 \cdots w_n \in L$  be such that  $n \ge p$ . For  $1 \le i \le n$ , let  $s_i = \hat{\delta}(q_0, w_1 \cdots w_i)$ ; define  $s_0 = q_0$ .

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• Take  $x = w_1 \cdots w_j$ ,  $y = w_{j+1} \cdots w_k$ , and  $z = w_{k+1} \cdots w_n$ 

#### Proof.

Let  $M = (Q, \Sigma, \delta, q_0, F)$  be a DFA such that L(M) = L and let p = |Q|. Let  $w = w_1 w_2 \cdots w_n \in L$  be such that  $n \ge p$ . For  $1 \le i \le n$ , let  $s_i = \hat{\delta}(q_0, w_1 \cdots w_i)$ ; define  $s_0 = q_0$ .

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- ▶ Take  $x = w_1 \cdots w_j$ ,  $y = w_{j+1} \cdots w_k$ , and  $z = w_{k+1} \cdots w_n$
- ► Observe that since j < k ≤ p, we have |xy| ≤ p and |y| > 0.

Proof . . . Technical Claim

> Claim For all  $i \ge 1$ ,  $\hat{\delta}(xy^i) = \hat{\delta}(q_0, x)$ .

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Proof (contd).



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- Similarly,  $\hat{\delta}(q_0, xy^i z) = \hat{\delta}(q_0, xyz) \in F$  and so  $xy^i z \in L$

# Finite Languages and Pumping Lemma

Question

Do finite languages really satisfy the condition in the pumping lemma?

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Finite Languages and Pumping Lemma

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Recall Pumping Lemma: If L is regular then there is a number p (the pumping length) such that  $\forall w \in L$  with  $|w| \ge p$ ,  $\exists x, y, z \in \Sigma^*$  such that w = xyz and

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#### Answer

Yes, they do. Let p be larger than the longest string in the language. Then the condition " $\forall w \in L$  with  $|w| \ge p, \ldots$ " is vaccuously satisfied as there are no strings in the language longer than p!

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**Pumping Condition** 

$$\exists p. \quad \forall w \in L. \text{ with } |w| \ge p \qquad \exists x, y, z \in \Sigma^*. w = xyz \\ (1) \quad |y| > 0 \\ (2) \quad |xy| \le p \\ (3) \quad \forall i \ge 0. xy^i z \in L \end{cases}$$

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Equivalent to showing that if (1), (2) then (3) does not. In other words, we can find *i* such that  $xy^i z \notin L$ 

Think of using the Pumping Lemma as a game between you and an opponent.

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*L* Task: To show that *L* is not regular  $\forall p$ . Opponent picks *p* 

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- L Task: To show that L is not regular
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- $\exists w$ . Pick w that is of length at least p

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$\forall x, y, z$	Opponent divides $w$ into $x, y$ , and $z$ such that
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Pumping Lemma: If L is regular, opponent has a winning strategy (no matter what you do).

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Contrapositive: If you can beat the opponent, L not regular. Your strategy should work for any p and any subdivision that the opponent may come up with.

# Example I

Proposition  $L_{0n1n} = \{0^n 1^n \mid n \ge 0\}$  is not regular.

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Suppose  $L_{eq}$  is recognized by DFA *M* with *p* states. Consider the input  $0^{p}1^{p}$ . There exist *j*, *k* and state *q* such that

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Suppose  $L_p$  is regular. Let p be the pumping length for  $L_p$ .

- Consider  $w = 0^m$ , where  $m \ge p + 2$  and m is prime.
- ▶ Since |w| > p, there are x, y, z such that w = xyz,  $|xy| \le p$ , |y| > 0, and  $xy^i z \in L_p$ , for all *i*.

► Thus,  $x = 0^r$ ,  $y = 0^s$  and  $z = 0^t$ . Further, as |y| > 0, we have s > 0.  $xy^{r+t}z = 0^r(0^s)^{(r+t)}0^t = 0^{r+s(r+t)+t}$ .

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- Another bad choice  $(01)^p(01)^p$ .

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Since r + t < p,  $xy^0z \notin L_{xx}$ . Contradiction!

## Lessons on Expressivity

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Finite automata cannot

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... and pumping lemma provides one way to find out some of these limitations.