CSE 135: Introduction to Theory of Computation Regular Expressions

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- ► A simple but powerful collection of operations:
 - Union, Concatenation and Kleene Closure

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$$L^{n} = \begin{cases} \{\epsilon\} & \text{if } n = 0\\ L^{n-1} \circ L & \text{otherwise} \end{cases}$$

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- $lackbox{0} = \{\epsilon\}. \text{ For } i > 0, \ \emptyset^i = \emptyset. \ \emptyset^* = \{\epsilon\}$
- \blacktriangleright \emptyset is one of only two languages whose Kleene closure is finite. Which is the other?



Kleene Closure

Definition

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i.e., L^i is $L \circ L \circ \cdots \circ L$ (concatenation of i copies of L), for i > 0. L^* , the Kleene Closure of L: set of strings formed by taking any number of strings (possibly none) from L, possibly with repetitions and concatenating all of them.

- ▶ If $L = \{0, 1\}$, then $L^0 = \{\epsilon\}$, $L^2 = \{00, 01, 10, 11\}$. $L^* = \text{set of } all \text{ binary strings (including } \epsilon)$.
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- ▶ \emptyset is one of only two languages whose Kleene closure is finite. Which is the other? $\{\epsilon\}^* = \{\epsilon\}$.

A Simple Programming Language



Stephen Cole Kleene

A regular expression is a formula for representing a (complex) language in terms of "elementary" languages combined using the three operations union, concatenation and Kleene closure.

Formal Inductive Definition

Syntax and Semantics

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A regular expression over an alphabet Σ is of one of the following forms:

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Basis \epsilon
a
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\begin{array}{c} \emptyset \\ \text{Basis} \qquad \epsilon \\ a \end{array} Induction \begin{array}{c} (R_1 \cup R_2) \\ (R_1 \circ R_2) \\ (R_1^*) \end{array}
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Formal Inductive Definition

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Basis		Semantics
Induction	$(R_1 \cup R_2) \ (R_1 \circ R_2) \ (R_1^*)$	

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\begin{array}{ccc} & \text{Syntax} & \text{Semantics} \\ \emptyset & & L(\emptyset) = \{\} \end{array} Basis \begin{array}{ccc} \epsilon & & & \\ a & & & \\ \end{array} Induction \begin{array}{ccc} (R_1 \cup R_2) & & & \\ (R_1 \circ R_2) & & \\ (R_1^*) & & & \end{array}
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Basis		Semantics $L(\emptyset) = \{\}$ $L(\epsilon) = \{\epsilon\}$
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Also will sometimes omit \circ : e.g. will write RS instead of $R \circ S$

R L(R)



R
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 $(0 \cup 1)^*$ $= (\{0\} \cup \{1\})^* = \{0, 1\}^*$

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0^* \cup (0^*10^*10^*10^*)^*
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 Strings where the number of 1s is divisible by 3

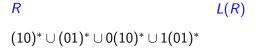
$$\begin{array}{ll} R & & L(R) \\ (0 \cup 1)^* & = (\{0\} \cup \{1\})^* = \{0,1\}^* \\ 0 \emptyset & \emptyset \\ \\ 0^* \cup (0^*10^*10^*10^*)^* & \text{Strings where the number of 1s} \\ \text{is divisible by 3} \\ (0 \cup 1)^*001(0 \cup 1)^* \end{array}$$

R	L(R)
$(0\cup 1)^*$	$= (\{0\} \cup \{1\})^* = \{0,1\}^*$
0Ø	Ø
0* \((0*10*10*10*)*	Strings where the number of 1s is divisible by 3
$(0 \cup 1)^*001(0 \cup 1)^*$	Strings that have 001 as a sub-

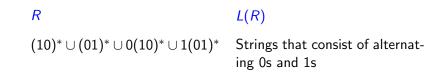
More Examples

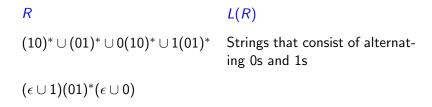
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$(0 \cup \epsilon)(1 \cup 10)^*$	Strings that do not have two consecutive 0s

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 if $L(R_1) = L(R_2)$.

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- ▶ Given DFA M, will construct regular expression R such that L(M) = L(R)

... to Non-determinstic Finite Automata

Lemma

For any regex R, there is an NFA N_R s.t. $L(N_R) = L(R)$.

Proof Idea

We will build the NFA N_R for R, inductively, based on the number of operators in R, #(R).

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- ▶ Induction Step: Consider R with #(R) = n + 1. Based on the form of R, the NFA N_R will be built using the induction hypothesis.

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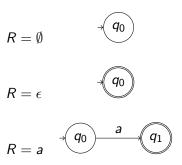
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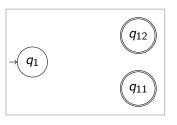
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Case $R = R_1 \cup R_2$

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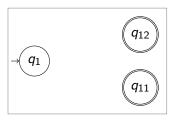
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Case
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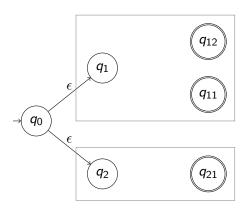
By induction hypothesis, there are N_1, N_2 s.t. $L(N_1) = L(R_1)$ and $L(N_2) = L(R_2)$. Build NFA N s.t. $L(N) = L(N_1) \cup L(N_2)$





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Formal Definition

Case
$$R = R_1 \cup R_2$$

Let $N_1=(Q_1,\Sigma,\delta_1,q_1,F_1)$ and $N_2=(Q_2,\Sigma,\delta_2,q_2,F_2)$ (with $Q_1\cap Q_2=\emptyset$) such that $L(N_1)=L(R_1)$ and $L(N_2)=L(R_2)$. The NFA $N=(Q,\Sigma,\delta,q_0,F)$ is given by

- $lacksquare Q = Q_1 \cup Q_2 \cup \{q_0\}$, where $q_0
 ot\in Q_1 \cup Q_2$
- $F = F_1 \cup F_2$
- \triangleright δ is defined as follows

$$\delta(q,a) = \left\{ egin{array}{ll} \delta_1(q,a) & ext{if } q \in Q_1 \\ \delta_2(q,a) & ext{if } q \in Q_2 \\ \{q_1,q_2\} & ext{if } q = q_0 ext{ and } a = \epsilon \\ \emptyset & ext{otherwise} \end{array}
ight.$$

Correctness Proof

Need to show that $w \in L(N)$ iff $w \in L(N_1) \cup L(N_2)$.

 $\Rightarrow w \in L(N)$ implies $q_0 \xrightarrow{w}_N q$ for some $q \in F$.

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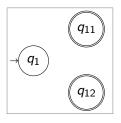
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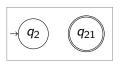
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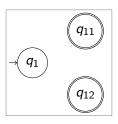
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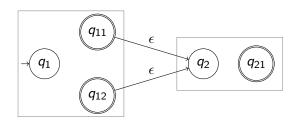
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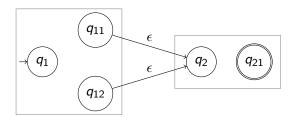
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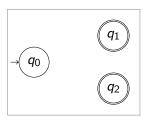


Formal definition and proof of correctness left as exercise.

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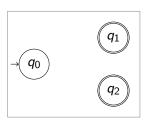
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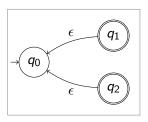
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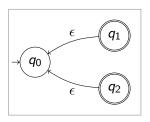
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First Attempt

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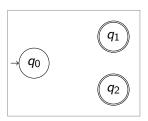
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Problem: May not accept $\epsilon!$ One can show that $L(N) = (L(N_1))^+$.

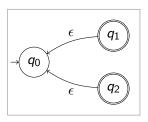
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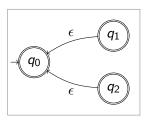
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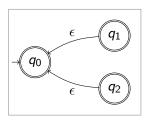
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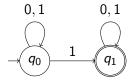
Second Attempt

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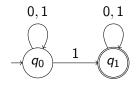
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Problem: May accept strings that are not in $(L(N_1))^*$!

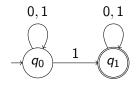


Example NFA N



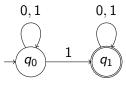
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$$L(N) = (0 \cup 1)^*1(0 \cup 1)^*.$$

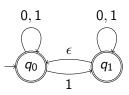


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. Thus, $(L(N))^* = \epsilon \cup (0 \cup 1)^* 1 (0 \cup 1)^*$.

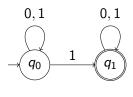


Example NFA N

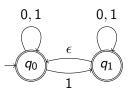


Incorrect Kleene Closure of N

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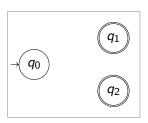
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. Thus, $(L(N))^* = \epsilon \cup (0 \cup 1)^*1(0 \cup 1)^*$. The previous construction, gives an NFA that accepts $0 \notin (L(N))^*$!

Correct Construction

Case
$$R = R_1^*$$

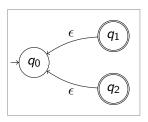
- ▶ By induction hypothesis, there is N_1 s.t. $L(N_1) = L(R_1)$
- ▶ Build NFA N s.t. $L(N) = (L(N_1))^*$



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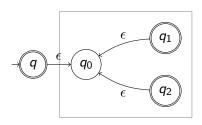


Induction Step: Kleene Closure

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Formal definition and proof of correctness left as exercise.

To Summarize

We built an NFA N_R for each regular expression R inductively

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- When R was an elementary regular expression, we gave an explicit construction of an NFA recognizing L(R)
- ▶ When $R = R_1$ op R_2 (or $R = op(R_1)$), we constructed an NFA N for R, using the NFAs for R_1 and R_2 .

An Example

Build NFA for $(1 \cup 01)^*$

An Example

Build NFA for $(1 \cup 01)^*$

 N_0

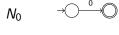
An Example

Build NFA for $(1 \cup 01)^*$

$$N_0$$
 \rightarrow \bigcirc

An Example

Build NFA for $(1 \cup 01)^*$



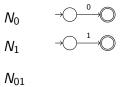
 N_1

An Example

Build NFA for $(1 \cup 01)^{\ast}$

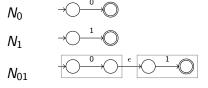
An Example

Build NFA for $(1 \cup 01)^{\ast}$



An Example

Build NFA for $(1 \cup 01)^{\ast}$



An Example

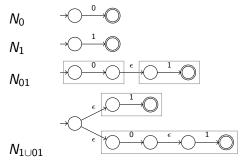
Build NFA for $(1 \cup 01)^*$

$$N_0$$
 N_1
 N_{01}
 N_0

 $\textit{N}_{1\cup 01}$

An Example

Build NFA for $(1 \cup 01)^*$



Example Continued

Build NFA for $(1 \cup 01)^*$

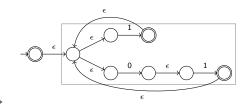
Example Continued

Build NFA for $(1 \cup 01)^*$

 $N_{(1\cup 01)^*}$

Example Continued

Build NFA for $(1 \cup 01)^*$



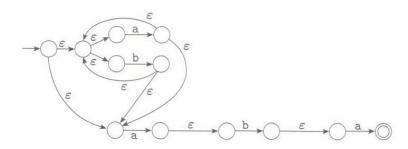
 $\textit{N}_{(1\cup 01)^*}$

An Example (2)

Build NFA for $(a \cup b)^*aba$

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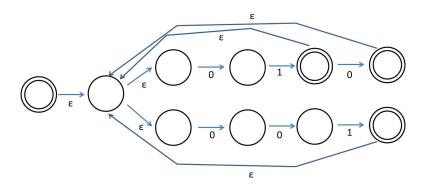


Regular Expressions to NFA An Example (3)

Build NFA for $(01 \cup 001 \cup 010)^*$

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Build NFA for $(01 \cup 001 \cup 010)^*$



Defined Regular Expressions

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 - ► Today: Languages represented by regular expressions are regular (we showed how to build NFAs for them).
 - Coming up: Regular languages can be represented by regular expressions (by building regex for any given DFA).