

CSE 135: Introduction to Theory of Computation

Pumping Lemma

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Finite Languages

Definition

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$\{0, 1, 00, 10\}$ is a finite language, however, $(00 \cup 11)^*$ is not.

Finiteness and Regularity

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Proof.

Let $L = \{w_1, w_2, \dots, w_n\}$. Then $R = w_1 \cup w_2 \cup \dots \cup w_n$ is a regular expression defining L . \square

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Above is a weak argument because $E = \{w \in \{0, 1\}^* \mid w \text{ has an equal number of 01 and 10 substrings}\}$ is regular!

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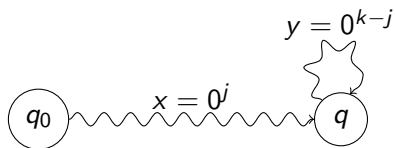
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- ▶ Let $x = 0^j$, $y = 0^{k-j}$, and $z = 0^{n-k}1^n$; so $xyz = 0^n1^n$. $\dots \rightarrow$

Proving Non-Regularity

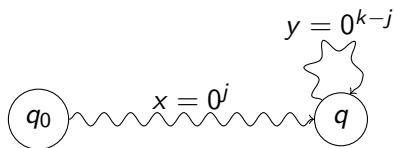
Proof (contd).



- ▶ We have $\hat{\delta}(q_0, 0^j) = \hat{\delta}(q_0, 0^k) = q$

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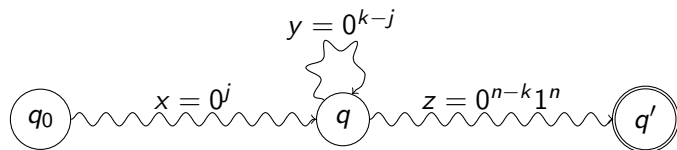
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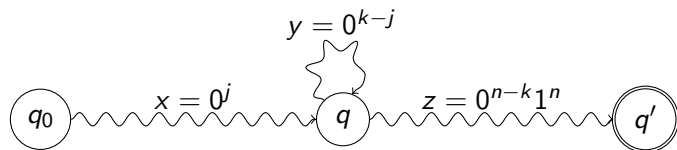


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$$\hat{\delta}(q_0, 0^n 1^n) = \hat{\delta}(\hat{\delta}(q_0, 0^k), 0^{n-k} 1^n)$$

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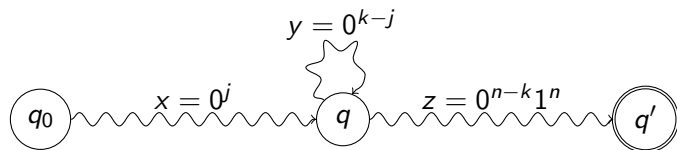


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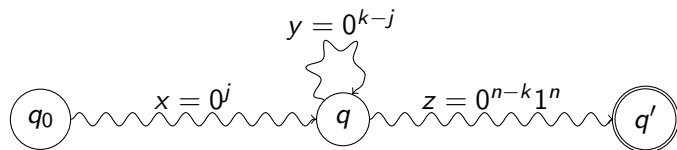


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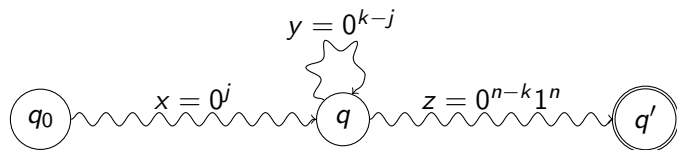


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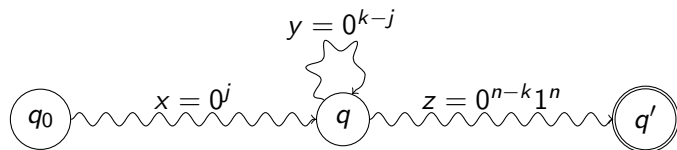


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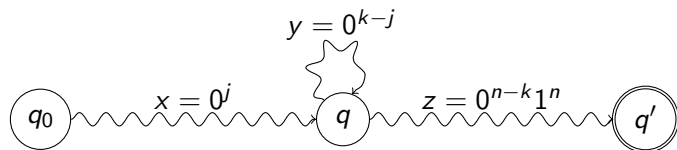


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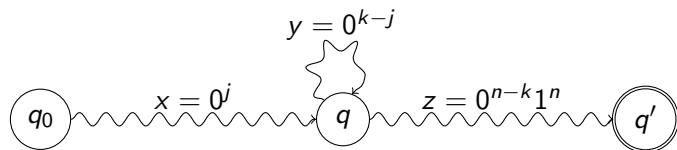
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- ▶ So M accepts $0^{n-k+j} 1^n$ as well. But, $0^{n-k+j} 1^n \notin L_{\text{eq}}$! □

Pumping Lemma: Overview

Pumping Lemma

The lemma generalizes this argument. Gives the template of an argument that can be used to easily prove that many languages are non-regular.

Pumping Lemma

The Statement

Lemma

If L is regular then there is a number p (the pumping length) such that $\forall w \in L$ with $|w| \geq p$, $\exists x, y, z \in \Sigma^$ such that $w = xyz$ and*

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- ▶ Take $x = w_1 \cdots w_j$, $y = w_{j+1} \cdots w_k$, and $z = w_{k+1} \cdots w_n$
- ▶ Observe that since $j < k \leq p$, we have $|xy| \leq p$ and $|y| > 0$.

...→

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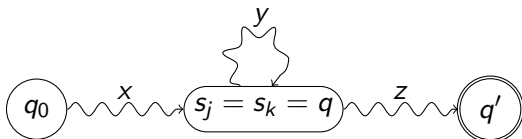
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□

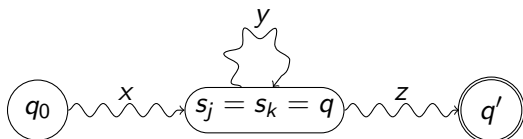
Completing the Proof

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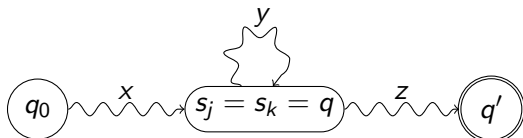
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- ▶ We have $\hat{\delta}(q_0, xy^i) = \hat{\delta}(q_0, x)$ for all $i \geq 1$

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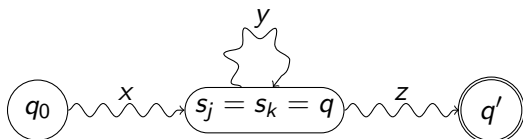
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- ▶ Since $w \in L$, we have $\hat{\delta}(q_0, w) = \hat{\delta}(q_0, xyz) \in F$

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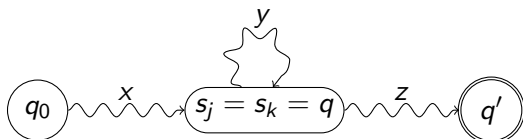
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- ▶ Observe,
 $\hat{\delta}(q_0, xz) = \hat{\delta}(\hat{\delta}(q_0, x), z) = \hat{\delta}(\hat{\delta}(q_0, xy), z) = \hat{\delta}(q_0, w)$. So
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- ▶ Similarly, $\hat{\delta}(q_0, xy^i z) = \hat{\delta}(q_0, xyz) \in F$ and so $xy^i z \in L$ □

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Question

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Recall Pumping Lemma: If L is regular then there is a number p (the pumping length) such that $\forall w \in L$ with $|w| \geq p$, $\exists x, y, z \in \Sigma^*$ such that $w = xyz$ and

1. $|y| > 0$
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Answer

Yes, they do. Let p be larger than the longest string in the language. Then the condition “ $\forall w \in L$ with $|w| \geq p, \dots$ ” is **vaccuously** satisfied as there are no strings in the language longer than p !

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L regular implies that L satisfies the condition in the pumping lemma. If L is not regular **pumping lemma says nothing about L !**

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If L does not satisfy the pumping condition, then L not regular.

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Pumping Condition

$$\exists p. \quad \forall w \in L. \text{ with } |w| \geq p \quad \exists x, y, z \in \Sigma^*. w = xyz$$

(1)	$ y > 0$	}
(2)	$ xy \leq p$	
(3)	$\forall i \geq 0. xy^i z \in L$	

Using the Pumping Lemma

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Pumping Lemma, in contrapositive

If L does not satisfy the pumping condition, then L not regular.

Negation of the Pumping Condition

$$\begin{array}{l} \exists p. \quad \forall w \in L. \text{ with } |w| \geq p \quad \exists x, y, z \in \Sigma^*. w = xyz \\ \left. \begin{array}{l} (1) \quad |y| > 0 \\ (2) \quad |xy| \leq p \\ (3) \quad \forall i \geq 0. xy^i z \in L \end{array} \right\} \end{array}$$

Using the Pumping Lemma

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Equivalent to showing that if (1), (2) then (3) does not. In other words, we can find i such that $xy^i z \notin L$

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Your strategy should work for any p and any subdivision that the opponent may come up with.

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Since $r + t < p$, $xy^0 z \notin L_{0^n 1^n}$. Contradiction!

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A Tale of two Proofs

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Suppose L_{eq} is recognized by DFA M with p states. Consider the input $0^p 1^p$.

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so for some r, s, t , $x = 0^r$,
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- ▶ But $xy^0 z = 0^{p-s} 1^p \notin L_{\text{eq}}$

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- ▶ Thus, $x = 0^r$, $y = 0^s$ and $z = 0^t$. Further, as $|y| > 0$, we have $s > 0$. $xy^{r+t}z = 0^r(0^s)^{(r+t)}0^t = 0^{r+s(r+t)+t}$.

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Is $L_{xx} = \{xx \mid x \in \{0, 1\}^*\}$ is regular?

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- ▶ Another bad choice $(01)^p(01)^p$.

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Lessons on Expressivity

Limits of Finite Memory

Finite automata cannot

- ▶ “keep track of counts”: e.g., L_{0n1n} not regular.
- ▶ “compare far apart pieces” of the input: e.g. L_{xx} not regular.
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... and pumping lemma provides **one way** to find out some of these limitations.