

## Chapter 10

# Experiments with real-world data: the acoustic-to-articulatory mapping problem

In this chapter, we apply our reconstruction algorithm to a version of the acoustic-to-articulatory mapping, a well-known mapping inversion problem of speech research. It is a complex, high-dimensional task that helps to further understand the performance of the algorithm. Before the description and discussion of the experimental results of section 10.2, we give some background of the problem and its significance for speech perception and automatic speech recognition (ASR) in section 10.1.

### 10.1 The acoustic-to-articulatory mapping problem

We describe the problem of articulatory inversion, its relation with the motor theory of speech perception, computational approaches for its solution and speech models incorporating articulatory information.

#### 10.1.1 The problem

Broadly speaking, the acoustic-to-articulatory mapping problem consists of determining the vocal tract shape that produced a certain acoustic signal. The forward mapping, from a vocal tract shape or articulatory configuration to the acoustics, is univalued but nonlinear and many-to-one, which makes its inversion difficult (see fig. 10.1). The problem is also further complicated by the fact (among others) that the articulatory and

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This chapter is partly based on references Carreira-Perpiñán and Renals (1999); Carreira-Perpiñán (2000b).

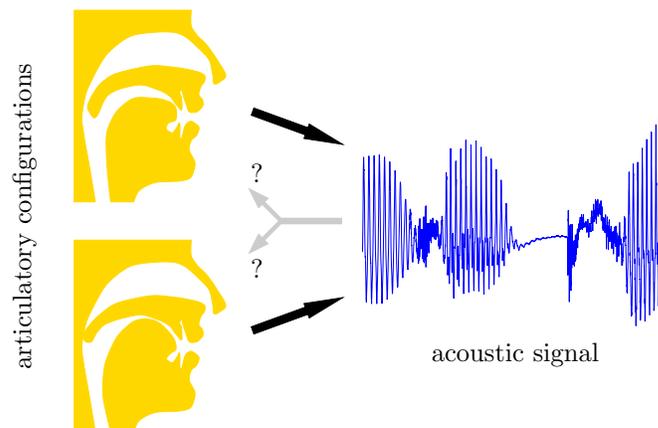


Figure 10.1: The acoustic-to-articulatory mapping problem: one vocal tract configuration produces a unique acoustic signal, but certain acoustic signals may be produced by multiple vocal tract configurations.

acoustic variables are not as clear cut as in, say, the robot arm problem of section 9.3. However, the problem is very important from both engineering and perceptual points of view.

### 10.1.1.1 Forward mapping: sound propagation in the vocal tract

The vocal tract acts as an acoustic filter that modifies the spectrum of the excitation signal at the glottis. From the point of view of articulatory synthesis one has to model the following elements (Fant, 1970; Flanagan, 1972; Schroeter and Sondhi, 1994):

**Geometry of the vocal/nasal tract** The vocal tract can be idealised as a straightened, nonuniform acoustic tube extending from the glottis ( $x = 0$ ) to the lips ( $x = L$ ) whose cross-section *area function*  $A(x)$  varies continuously but slowly as a function of time. Information about its geometry may be obtained from X-ray measurements.

**Wave propagation in the tract** It can be described by *Webster's horn equation* (first derived by Bernoulli, Euler and Lagrange in the XVIII century). This is a second-order linear differential equation for the pressure (and volume velocity) as a function of  $x$  for a glottal signal and a given  $A(x)$ . Its solutions are plane waves, i.e., pressure and velocity are constant in a plane perpendicular to the tract axis. The equation is valid as long as the greatest cross-dimension of the tract is appreciably less than a wavelength, which means for frequencies smaller than 4 kHz. Nonlinear effects are important with turbulent flow (high Reynolds or Mach number), which happens through the vocal cords or through narrow constrictions as in fricatives<sup>1</sup>. The equation can be extended to account for effects of energy loss due to viscous friction, thermal conduction and yielding tract walls.

**Sound sources and their interaction with the tract** This requires a nonlinear model of the glottis (vocal cords).

Therefore the shape of the vocal tract is completely specified at any one time by the area function  $A(x)$ .

The **direct problem**, i.e., to determine the volume velocity and pressure of the air given  $A(x)$  and certain other parameters, can be solved for any given boundary conditions at the lips and glottis. That is, the articulatory configuration plus the glottal source causally determine the acoustics. This allows speech synthesis from articulatory parameters, and is usually straightforward but computationally expensive. The **inverse problem**, i.e., to obtain articulatory information (in particular  $A(x)$ ) from acoustic information extracted from the speech signal, does not have a unique solution: the transfer function of the vocal tract does not uniquely specify the area function<sup>2</sup> and so the acoustic signal at the lips does not either. There are two kinds of nonuniqueness:

- Different tract shapes may have or almost have the same transfer function, thus producing the same acoustic signal for the same given input at the glottis.
- The same acoustic signal may be produced by two different tract shapes with appropriate inputs at the glottis, i.e., changes in the source can compensate for certain changes of the tract transfer function.

Most models address only the former. The only way to deal with the nonuniqueness problem is to use constraints on the area function, particularly temporal continuity.

### 10.1.1.2 Articulatory variables and their properties

The articulatory configuration or vocal tract shape can be represented in various ways depending on the purpose of the inversion, but in any case it should give a reasonably complete description of the shape of the vocal tract. The area function  $A(x)$  is such a complete description but for computational convenience a finite set of articulatory variables<sup>3</sup> is used. This can be achieved by discretising the area function or by using

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<sup>1</sup>The main characteristics of speech sounds are (Ladefoged, 2000; Rabiner and Juang, 1993): *voicedness*, where the tract is excited by vibrating vocal cords (e.g. vowels, diphthongs); *frication*, where the tract is excited by turbulence due to flow through a narrow constriction (e.g. /s,z,j,ʒ,f,v/); *plosiveness (stops)*, where the tract is excited by sudden release of pressure (e.g. /p,t,k,b,d,g/); *nasality*, where part or all of the flow is diverted into the nasal tract (e.g. /m,n,ŋ/); *silence*, where there is no excitation (e.g. during stop closures).

<sup>2</sup>The acoustic input impedance of the vocal tract at either end of the tract does uniquely specify the area function (for lossless vocal tracts only). But such information is not easily measurable.

<sup>3</sup>In the acoustic-to-articulatory mapping literature the representation of the vocal tract is usually called articulatory features or parameters or configuration or shape and the representation of the speech signal is usually called acoustic features. We will generally call them articulatory variables and acoustic variables in accordance with the rest of this thesis.

instead the positions of particular articulators or landmarks of articulators, such as the tongue tip, tongue body, jaw, velum, lip opening, etc. Ladefoged (1980) has suggested a set of 16 articulatory parameters which are necessary and sufficient to uniquely characterise all the sounds of every known language, but most studies use fewer than 10 and restrict them to the midsagittal plane (the plane of fig. 10.1).

The articulators are masses accelerated with finite forces and occupy space, so they are subject to mechanical constraints. Various kinds of constraints have been proposed: static, which discard physically unrealisable articulatory configurations (e.g. ‘tongue tip cannot go through the roof of the mouth’); dynamic, in that they change continuously and slowly with the time; and others such as those derived from the economy of skilled movements (Nelson, 1983), e.g. minimal muscle effort or work.

Data for the articulatory variables and their constraints can be derived from measurements with X-rays or EMA (section 7.10.5) or from articulatory models. An **articulatory model** is a geometric model of the vocal tract in terms of several parameters. For example, in the articulatory model of Mermelstein (1973) the parameters are the locations of tongue body centre, velum, tongue tip, jaw, lips and hyoid. The model allows the computation of the area function  $A(x)$  that results from given values of the parameters; such area functions can then be used in Webster’s horn equation. Articulatory models are aimed at representing mechanical constraints of the vocal tract and so they can be used to generate feasible vocal tract configurations (i.e., not all values of the parameters are allowed). The most favoured models are those of Mermelstein (1973), Coker (1976) and Maeda (1982). They are primarily based on (often two-dimensional) X-ray studies of the vocal tract. For the purpose of articulatory inversion one can use the articulatory parameters directly rather than the area functions.

Besides the squared reconstruction error, or root-mean-square (RMS) error, two other measures of quality of articulatory recovery are often used:

- Pearson product-moment correlation, which quantifies for a given articulator the similarity in shape between two trajectories regardless of magnitude, i.e., whether they rise and fall in synchrony:

$$r \stackrel{\text{def}}{=} \frac{\text{cov}\{a, b\}}{\text{stdev}\{a\} \text{stdev}\{b\}} = \frac{\sum_{n=1}^N (a^{(n)} - \bar{a})(b^{(n)} - \bar{b})}{\sqrt{\left(\sum_{n=1}^N (a^{(n)} - \bar{a})^2\right) \left(\sum_{n=1}^N (b^{(n)} - \bar{b})^2\right)}} \in [-1, 1] \quad (10.1)$$

for two sequences  $\{a^{(n)}\}_{n=1}^N$  and  $\{b^{(n)}\}_{n=1}^N$  of means  $\bar{a}$  and  $\bar{b}$ , respectively.

- In the context of automatic speech recognition (ASR), some measure of articulatory gesture or phoneme recognition, such as a phone classification score.

### 10.1.1.3 Acoustic variables and their properties

The raw acoustic signal as a function of time is not convenient because of its high sampling rate (normally around 20 kHz) and variability (due to inter- and intraspeaker variability, noise and coarticulation). Depending on the problem, other representations (Rabiner and Juang, 1993; Gold and Morgan, 2000) are used that result in a vector time series with a rate of the order of 100 Hz (closer to that of the articulators). In decreasing order of closeness to the vocal tract shape:

**Formants** The formants are the resonances of the vocal tract and are therefore very closely related to its shape, changing slowly with time and showing relatively simple phonemic transitions. Besides, they are quite robust to noise. However, they cannot be generally used: they are not always visible in the spectrum (e.g. when a narrow constriction decouples the rear cavity, as in fricatives) and they are difficult to extract reliably. The formants have been often used in studies of the acoustic-to-articulatory mapping for vowels.

**Linear predictive coding (LPC)** performs spectral analysis on speech frames with an all-pole filter. It provides a good approximation to the vocal tract spectral envelope for voiced speech and achieves a reasonable source-vocal tract separation. It is less effective for unvoiced and transient regions of speech. Other variations of LPC are *line spectral frequencies* (LSF) and *line spectral pairs* (LSP).

**Filter banks** The speech signal is passed through a bank of several independent but overlapping bandpass filters collectively spanning the frequency range of interest. Thus, the output of each filter is a short-time spectral representation of the signal at the filter’s centre frequency at the current time frame.

**Auditory-based cepstral representations** A smoothed short-term spectrum is derived from a filter bank that has been designed according to some model of the auditory system. The features are decorrelated with a linear transformation which also separates out pitch, spectral detail and spectral tilt. The most common variants are the *mel cepstrum*<sup>4</sup> (MFCC) and *perceptual linear prediction* (PLP) (Hermansky, 1990), which provide very similar features; a more recent proposal are *modulation-filtered spectrogram* (MSG) features (Kingsbury et al., 1998), developed for speech recognition robust to acoustic interference such as additive noise and reverberation. In addition to the cepstral coefficients, estimates of their velocities and accelerations are often used to account for dynamic features of speech. Most current ASR systems use this representation. However, cepstra are sensitive to noise (because of the logarithmic compression and subsequent spread over all features by the linear transformation), to coarticulation and speaker-dependent; and they present complex transitions and discontinuities where the excitation changes.

Thus, while the raw acoustic waveform is continuous in time, the acoustic variables generally are not, even for representations like LSPs which are closer to the formants. And articulatory trajectories that differ only slightly can result in very different acoustic utterances. Deng et al. (1997) mention a good, well-known example: stop epenthesis after nasals. This occurs when an extra silence and burst are introduced in the acoustic signal due to variation in timing between adjacent velic and oral closures. For example, the realisation of the word “princess” as [printsɛs] or [prinsɛs] depends on whether the velum is raised before or after the release of the alveolar stop, and the amount of desynchronisation varies continuously (as observed in articulatory data). Accounting for this in the acoustic domain is far more difficult than in the articulatory domain, requiring either to assume an extra stop phoneme for the word in question or to extend the acoustic model of the nasal to include the epenthetic stop.

In many of the computational approaches for the acoustic-to-articulatory mapping problem, an **acoustic distance** is required, i.e., a distance between acoustic vectors. Many such distances have been proposed in the speech literature, often quite complex to make them more relevant to human perception (distortion measures). For filterbank and cepstral vectors, an  $L_1$ ,  $L_2$  or covariance weighted spectral difference is often used; for LPC coefficients, the likelihood ratio distance is more appropriate (Rabiner and Juang, 1993, chapter 4). Likewise, an **articulatory distance** is required, and several have been proposed, with the Euclidean one being often used.

#### 10.1.1.4 Example of the nonuniqueness

Many examples of the nonuniqueness of the acoustic-to-articulatory mapping have been given in the literature, resulting from both articulatory models and human experiments (Schroeter and Sondhi, 1994). A familiar demonstration are ventriloquists, who can produce intelligible speech without moving the lips. Another often-cited example is that of the approximant consonant /ɹ/ of American English (the ‘r’ as in ‘beaker’, ‘perk’, ‘rod’ or ‘street’) (Westbury et al., 1998; Espy-Wilson et al., 2000). Speakers of rhotic dialects of American English use many different articulatory configurations for /ɹ/, which are all acoustically characterised by an extremely low frequency of the third formant (often close to that of the second formant). These configurations expose an ante/sub-lingual cavity and involve three constrictions: in the pharynx, along the palate and at the lips. The configurations differ most in the palatal constriction and have traditionally been divided into contrasting categories of *retroflex* (tongue tip raised, tongue dorsum lowered) and *bunched* (tongue dorsum raised, tongue tip lowered), but there really seems to exist a continuum between them. These different configurations occur both within and across speakers: some speakers may use one type of configuration exclusively while others switch between two or three different types in different phonetic contexts and according to prosodic variables.

Computational models have also confirmed the nonuniqueness of the acoustic-to-articulatory mapping. Atal et al. (1978) found articulatory regions (fibers) that map onto a single acoustic point by linearising the forward mapping in a small neighbourhood and extending it in small steps. They found that many sounds can be produced by many different vocal tract shapes.

Finally, from a theoretical standpoint, the nonuniqueness appears for lossless vocal tracts with fixed boundary conditions: the area functions  $A(x)$  and  $1/A(L-x)$  (where  $L$  is the vocal tract length) produce the same transfer function. For lossy vocal tracts, it is not clear whether the nonuniqueness remains, but practically more than one area function produce very similar transfer functions.

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<sup>4</sup>The (complex) cepstrum of a signal is the Fourier transform of the log of the signal spectrum (which is itself the Fourier transform of the signal).

### 10.1.1.5 Coarticulation

Coarticulation broadly refers to the fact that a phonological segment is not realised identically in all contexts, but often apparently varies to become more like an adjacent or nearby segment (Hardcastle and Hewlett, 1999). It can be anticipatory (a later segment influences an earlier one) or carryover (vice versa). For example, the English phoneme /k/ is articulated further forward on the palate before a front vowel ([k̟i:] ‘key’) and further back before a back vowel ([k̠ɔ:] ‘caw’); and will have a lip position influenced by the following vowel (e.g. rounded in [k̠<sup>w</sup>ɔ:] ‘caw’). As another example, in velopharyngeal coarticulation nasality spreads from a consonant to a neighbouring vowel: compare the /a/ in /as/ and /an/.

The reason for coarticulation is that the vocal tract cannot move from one target configuration to the next one instantaneously, so instead of keeping each phoneme as an invariant articulation and then slowly moving to the next, the articulators follow a faster, more graceful trajectory. The higher the coarticulation the more fluent the sequence and the more difficult it is to isolate individual phonemes. The same happens in handwriting.

Coarticulation is thought to have advantages for perception: spreading the effect of a phoneme to a larger interval makes it more likely to be spotted and several phonemes may be processed in parallel. Thus, coarticulation could be an adaptation of the human communication system to maximise the transmission rate at its bottleneck: the slow-moving articulators. However, coarticulation makes each acoustic unit depend heavily on its context, which makes speech recognition difficult. Dealing with coarticulation should be much easier in its native, articulatory domain than in the acoustic one.

There is a parallelism in terms of planning between an utterance and a robot arm trajectory in that targets must be met: in the utterance, these are acoustic targets given by the phonemic transcription of the utterance, while in the robot arm these are physical locations through which the end-effector must pass (perhaps avoiding obstacles). The targets are given in the acoustic or work space and result in corresponding targets in the articulatory space. However, in speech the articulatory targets do not necessarily have to be fully realised for speech to be intelligible, particularly in fast speech styles, as shown by coarticulation.

### 10.1.1.6 Critical vs non-critical articulators (“don’t care” values)

The concept of critical articulators refers to the fact that, for a given production, the movement of a small subset of articulators is crucial, while the movement of the rest is not. For example, the acoustics, particularly the formants, are more sensitive to place and degree of constriction than to the rest of the area function. One reason for this is that the coefficients of Webster’s horn equation are functions of the logarithm of the area function. Recasens’ work on coarticulation in Catalan (reviewed in Hardcastle and Hewlett, 1999, chapters 2 and 4) showed that the more an articulator is involved in producing a consonant, the less susceptible it is to coarticulatory influences from adjacent vowels.

Papcun et al. (1992) demonstrated empirically that critical articulators are less susceptible to coarticulation and have a greater range of variation than noncritical articulators, which are freer to vary or play along. They used real articulatory data (recorded with an X-ray microbeam) and trained a neural net to learn the mapping from acoustics<sup>5</sup> (bark scaled FFT bins) to articulators’ positions (lower lip, tongue tip and tongue dorsum) for the English stop consonants /p,b,t,d,k,g/. The critical articulators were the lower lip for bilabials (/p,b/), the tongue tip for alveolars (/t,d/) and the tongue dorsum for velars (/k,g/). By comparing the articulatory trajectories inferred by the neural net with the original ones, they found good correlation ( $r \approx 0.9$ ) for the critical articulators of each consonant type and bad correlation ( $r = 0.19$  to  $0.78$ ) for the noncritical ones, but higher RMS error for the critical than for the noncritical ones. They hypothesise that intra-speaker variability of non-critical articulators could be caused by principled differences (such as differences in phrasal stress) or considered as noise; while inter-speaker variability could result from the fact that each speaker has acquired idiosyncratic patterns of noncritical articulator movement but shares patterns of critical articulator movement with other speakers.

Another case of “don’t care” values occurs during silence intervals in the speech (e.g. during stop closures), in which the output spectrum is similar to that of the background noise and does not contain any information regarding the shape of the vocal tract.

“Don’t care” values also occur in the robot arm problem (section 9.3). For example, Jordan (1990) considers the case of a robot with two arms: if at some moment there is only one target to manipulate, the arm which is not manipulating the target is free to move; but it may move towards a future target to anticipate a movement and so make the transition to the next configuration easier (faster, requiring less energy, etc.). Coarticulation

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<sup>5</sup>Rather than using as input the acoustic vector of a single frame, they used a sequence of 25 frames (around 200 ms), which they called *context frame*.

is the analog case in speech production. The same phenomena should occur if the vocal tract is extended to include facial features (section 7.10.4).

### 10.1.1.7 Significance for speech processing

The constraints of the speech production system (such as slow, continuous dynamics, coarticulation, identification of critical articulators and speaker-dependent characteristics such as vocal tract length) can be better represented by an articulatory representation of speech than by an acoustic one. An articulatory representation should be useful for:

- Speech recognition: traditional speech recognisers have problems with nasals, voiced and unvoiced stops and voiced and unvoiced fricatives, for which spectrograms are very similar, discriminatory features concentrate on very few transitional frames and the spectrum between transitional frames is ambiguous or useless. They also have problems with the effects of prosody and coarticulation, which they partially alleviate by using context-sensitive models (e.g. diphones), but at the cost of using many parameters.

The addition of articulatory features to acoustic features in HMMs has been shown to increase recognition performance over acoustic features alone (Zlokarnik, 1995a). We review some more sophisticated models using production information in section 10.1.4.

- Coding and text-to-speech synthesis: an articulatory representation has lower requirements than an acoustic one for transmission rate and storage because of the slow dynamics of the articulators. Articulatory trajectories are also closer to a phonetic transcription.
- Visualisation of vocal tract features and training aids for the deaf, etc. (as in chapter 5 with the EPG data).

In summary, some aspects of speech processing should be simpler in the articulatory domain. Since usually only the acoustic signal is readily available, articulatory inversion becomes necessary.

### 10.1.2 The motor theory of speech perception

Irrespective of any mathematical or engineering approach, how the human brain may perform articulatory inversion is unknown<sup>6</sup>. We briefly review the well-known motor theory of speech and note an interpretation in terms of latent variables.

The basic motivation for speech theory is that people can both perceive speech and produce speech. It seems unparsimonious to assume that the speaker-listener employs two entirely separate processes, one for encoding language and the other for decoding it. A simpler assumption is that there is only one process with appropriate links between sensory and motor components. Speech is then assumed to be perceived by processes that are involved not only in auditory perception but also in speech production.

The motor theory (Lieberman et al., 1967; Lieberman and Mattingly, 1985) was originally proposed to try to account for perceptual invariance in the face of highly variable, context-dependent, acoustic cues. Experiments show that there is typically lack of correspondence between acoustic cue and perceived phoneme, which rules out the use of acoustic cues as perceptual primitives. For example, coarticulation effects, the fact that any particular acoustic segment will likely to be cueing more than one phoneme at a time. In several cases it appears that perception mirrors articulation more closely than sound. This supports the assumption that the listener uses the inconstant sound as a basis for finding his way back to the articulatory gestures that produced it.

The motor theory makes two basic claims: (1) the existence of an invariant motor code of phonetic gestures shared by speech perception and production; and (2) the existence of an innate, specialised module in the brain responsible for the translation between phonetic gestures and acoustic patterns. Let us examine these claims in more detail.

**The existence of a motor code** The objects of speech perception are the intended phonetic gestures of the speaker, represented in the brain as invariant motor commands that call for movements of the articulators through certain linguistically significant configurations. These gestural commands are the physical reality underlying the traditional phonetic notions (such as tongue backing, lip rounding or jaw raising) that provide the

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<sup>6</sup>Likewise, how the brain solves other motor problems, like arm control, is the subject of active research (for a recent review see Wolpert and Ghahramani, 2000). Concepts similar to those proposed by the motor theory appear there too, such as postulated motor primitives or forward and inverse internal models.

basis for phonetic categories. They are the elementary events of speech production and perception. Phonetic segments are simply groups of one or more of these elementary events; thus [b] consists of a labial stop gesture and [m] of that same gesture combined with a velum-lowering gesture. Phonologically, of course, the gestures themselves must be viewed as groups of features, such as labial, stop or nasal, but these features are attributes of the gestural events, not events as such. To perceive an utterance, then, is to perceive a specific pattern of intended gestures (more or less altered due to coarticulation and other effects).

As a detailed example of how a phoneme would be broken down, from a production point of view, into a sequence of possibly overlapping subphonemic elements, consider the articulation of /b/ (Lieberman et al., 1967):

1. Closing and opening the upper vocal tract in such a way as to produce the manner feature characteristic of the stop consonants.
2. Closing and opening the vocal tract specifically at the lips, thus producing the placed feature termed bilabiality.
3. Closing the velum to provide the feature of orality.
4. Starting vocal fold vibration simultaneously with the opening of the lips, appropriately to the feature of voicing.

Other phonemes could be described using these and other gestures:

/p/ has features 1, 2 and 3 but differs in 4 in that vocal fold vibration begins some 50 or 60 milliseconds after opening the lips.

/m/ has features 1, 2 and 4 but differs in 3 in that the velum hangs open to produce the feature of nasality.

/d/ has features 1, 3 and 4 but differs in place of articulation.

Therefore, a phonetic, or motor, gesture can be defined as a class of movements by one or more articulators that results in a particular, linguistically significant deformation over time of the vocal tract. A gesture may be effected by several articulators for several reasons:

- A gesture may require the collaboration of several articulators. For example, lip rounding is a collaboration of the lower lip, the upper lip and the jaw.
- A single articulator may participate in the execution of two different gestures at the same time. For example, the lips may be simultaneously rounding and closing in the production of a labial stop followed by a rounded vowel, as in [bu].
- Prosody effects. For example, producing a stressed syllable requires a greater displacement of some or all of the active articulators than when producing an unstressed one.
- Linguistically irrelevant factors, notably speaking rate, affect the trajectory and phasing of the component movements.

**The existence of a specialised module for the interface between speech perception and speech production** The existence of a motor code implies the existence of an intimate link between speech perception and speech production. In the motor theory, this link is innate, not learned, and is implemented by a specialised module of the brain. Thus, perception of the gestures occurs in a specialised mode different from the auditory mode.

Computation of the phonetic gestures from the acoustic signal by a cognitive process does not seem reasonable. This justifies the need in the motor theory for a modular account of linguistic perception and the assumption of the existence of a special-purpose computational device that relates gestural properties to acoustic patterns. The conversion from acoustic signal to gesture (i.e., a form of articulatory inversion) is done automatically, so that listeners perceive phonetic structures without mediation by, or translation from, the auditory appearances that the sounds might, on purely psychoacoustic grounds, be expected to have.

The motor theory assumes that adaptations of the motor system for controlling the organs of the vocal tract took precedence in the evolution of speech over the development of a perceiving system. These adaptations made possible not only to produce phonetic gestures, but also to coarticulate them so that they could be

produced rapidly. A perceiving system, specialised to take account of the complex acoustic consequences, developed concomitantly.

As biological basis for this specialised module, the motor theory proposes the existence of several neural networks—those that supply control signals to the articulators and those that process incoming neural patterns from the ear—with overlapping activity, so that information is correlated by these networks and passed through them in either direction.

### 10.1.2.1 Problems of the motor theory

The motor theory looks for a hidden representation of the speech message in terms of articulatory gestures, with information flowing in both directions (articulators → acoustics and acoustics → articulators), passing through the gestural representation. However, the idea of a gestural representation runs into a number of problems. A major shortcoming of the theory is the difficulty of rigorously defining, in physical terms, a particular gesture, due to the complications posed by coarticulation and other factors. This makes the motor gestures hardly more satisfactory as perceptual primitives than the acoustic cues. Further, categorising one group of the infinite number of possible articulatory movements as lip rounding and another as lip closure is entirely a priori. Besides, experiments in language acquisition in newborns have showed that structures of speech perception occur well before those of production.

Thus, while the mere ability of humans to listen and speak suggests that some sort of representation of the speech message must exist in the brain, it does not seem plausible that this representation is in terms of articulatory gestures, as the motor theory assumes. Several recent papers debating whether speech is controlled by auditory-acoustic goals or by articulatory goals appear in the *Journal of the Acoustic Society of America* 99(3):1680–1741 (March 1996); a summary is given by McGowan and Faber (1996).

There exist other feature-based theories, e.g. in phonology. In the theory of articulatory phonology of Browman and Goldstein (1992), the basic units of phonological contrast are called gestures and are also abstract characterisations of articulatory events, each with an intrinsic duration. Utterances are modelled as organised patterns of gestures, called constellations, in which gestural units may overlap in time. Thus, utterances differ from one another in the particular set of gestures they use or in how those gestures are organised, and the same gesture may have different acoustic consequences, depending on other concurrent gestures. The patterns of overlapping organisation can be used to account for several types of phonological variation, including coarticulation. Again, a listener must have a mechanism to recover the underlying gestures from the varying acoustics.

### 10.1.2.2 A latent-variable view

Regardless of its biological validity, the motor theory and other feature-based theories like that of Browman and Goldstein (1992) are computationally attractive and have been used as the motivation for several ASR approaches, some of which we have described in this thesis (e.g. Papcun et al., 1992; Eler and Freeman, 1996; Richards and Bridle, 1999). We point out here that the motor theory can be formulated as a latent variable model. Let us imagine the existence of a more abstract representation, neither expressed in terms of acoustic cues nor of articulatory gestures, and whose biological basis would reside in neural networks with bidirectional links with both the auditory and the articulatory systems. This could be implemented with a latent variable model trained in an unsupervised way with both acoustic and articulatory data (the latent variables would not necessarily be interpretable as a neural code); and the links between all three domains (acoustic, articulatory and the hidden representation) would be implemented by conditional probability rules.

## 10.1.3 Computational approaches

Webster's horn equation allows the computation of the acoustic signal resulting from a given area function for some glottal excitation, i.e., the articulatory-to-acoustic (forward) mapping, but not its inverse. Decades of research have been dedicated to computing this inversion. Levinson and Schmidt (1983) started their paper saying that

The direct determination from a speech signal of the corresponding articulatory parameters, such as area functions or other representations of vocal tract shape, is a long standing problem in speech research.

Almost 20 years later, the problem of articulatory inversion is still unresolved, particularly for unvoiced sounds. Much of the work on it has been reviewed by Schroeter and Sondhi (1994), so we restrict ourselves here to the

most important approaches as well as some of the more recent ones. Further review material can be found in some of the references cited in this section.

Many articulatory inversion methods can be used with the *analysis-by-synthesis* procedure, which is an optimisation closed loop in which the spectrum of the synthesised speech is compared to the real one at consecutive speech frames. For each frame, an optimisation procedure tries to minimise an acoustic distance between the two signals. In other words: take a starting articulator configuration  $\mathbf{x}_0$ ; compute the forward mapping  $\mathbf{g}(\mathbf{x}_0)$ ; backpropagate the error (acoustic distance) between the original speech  $\mathbf{y}$  and the synthesised speech  $\mathbf{g}(\mathbf{x}_0)$  to obtain an improved articulatory vector  $\mathbf{x}_1$ ; iterate till convergence. The optimisation is initialised with an articulatory vector  $\mathbf{x}_0$  obtained by some articulatory inversion method (e.g. from a codebook), which should be good to avoid local minima of the distance—which, in fact, is the major problem of this framework. Also, if the starting articulatory vector was good enough, one could avoid the optimisation loop altogether. Analysis-by-synthesis methods usually include as main parts an articulatory model (which describes the geometry of the oral cavity), an articulatory synthesiser (vocal tract model that simulates the physics of sound generation in that cavity), an optimisation algorithm with an error measure, and a spectral estimation algorithm (acoustic variables).

Three approaches to the acoustic-to-articulatory mapping are particularly important:

**Dynamic programming search in a large articulatory codebook** The use of articulatory codebooks was introduced by Larar, Schroeter, and Sondhi (1988) and its search by dynamic programming by Schroeter and Sondhi (1989). Earlier work by Atal et al. (1978) contains precursory ideas for codebooks. An articulatory codebook is a fixed, very large ( $M > 100\,000$  entries) table of  $M$  vocal tract shapes (obtained either from measurements with X-ray, EMA, etc. or by sampling an articulatory model) and their respective acoustic output. It disregards glottal excitation. The whole codebook is scanned at every speech frame and the optimal path found by dynamic programming<sup>7</sup> to minimise a cost function of the form (a particular case of eq. (7.2)):

$$\lambda \underbrace{\sum_{n=1}^{N-1} \|\hat{\mathbf{x}}^{(n+1)} - \hat{\mathbf{x}}^{(n)}\|^2}_{\mathcal{C}} + \underbrace{\sum_{n=1}^N \|\hat{\mathbf{y}}^{(n)} - \mathbf{y}^{(n)}\|^2}_{\mathcal{F}} \quad (10.2)$$

where  $\mathbf{y}$  represent original acoustic vectors,  $(\hat{\mathbf{x}}, \hat{\mathbf{y}})$  represent codebook vectors (with  $\hat{\mathbf{y}} \stackrel{\text{def}}{=} \mathbf{g}(\hat{\mathbf{x}})$ ),  $\mathbf{g}$  is the known<sup>8</sup> articulatory-to-acoustic mapping, the  $\mathcal{C}$  term is the continuity constraint (applied solely to the articulatory variables) and the  $\mathcal{F}$  term is the forward mapping constraint (applied to all variables, but the articulatory ones cancel out). Thus, the  $\mathcal{C}$  term is based on an articulatory distance (typically Euclidean) while the  $\mathcal{F}$  term is based on an acoustic distance (of which many variations exist in the speech literature; section 10.1.1.3). Another constraint that has often been used for the articulators (e.g. Shirai and Kobayashi, 1986; Sorokin, 1992; Yehia and Itakura, 1996) is muscle effort, given by a quadratic function of the displacement of the articulators (see section 7.5):

$$\sum_{d=1}^{D_1} c_d (x_d^{(n)} - \bar{x}_d)^2 \quad (10.3)$$

where  $\bar{x}_d$  is the equilibrium position of the  $d$ th articulator and  $c_d$  is a coefficient of tissue elasticity. It can be extended to a Mahalanobis distance or some other distance between the articulatory vector  $\mathbf{x}^{(n)}$  and the constant equilibrium vector  $\bar{\mathbf{x}}$ . Determination of the acoustic-articulatory cost weight  $\lambda$  has been tried in various heuristic ways (Schroeter and Sondhi, 1994).

Although there are heuristic techniques to speed up the search (such as selecting at each frame only the  $M'$  best acoustic fits, with  $M'$  of the order of 1000), since  $M$  is so large, the dynamic programming search is very slow and must be limited to around  $N = 20$  frames (= 200 ms of speech for a frame shift of 10 ms), having a computational complexity of  $\mathcal{O}(NM^2)$ .

<sup>7</sup>This use of dynamic programming must be distinguished from the *dynamic time warping* algorithm (Rabiner and Juang, 1993, pp. 200–240), in which dynamic programming and various forms of constraints are applied in pattern comparison for speech recognition purely in the acoustic domain. There, the goal is to align in time and normalise the distance between *two* speech patterns (sequences of spectral vectors) of possibly different durations (number of vectors in the sequence). The constraints try to ensure that there is a proper time alignment by avoiding time reversal (monotonicity constraint) and obtaining a smooth alignment path between the starting point and the end point, both of which are fixed and given by the sequences' length (continuity, slope, endpoint and global path constraints).

<sup>8</sup>“Known” means that either it can be derived analytically from a physical model or it can be reliably approximated from data for the inputs and outputs.

Dynamic programming search of codebooks is currently the most accurate method of articulatory inversion. Its disadvantages are the large size of the codebook, which consequently takes a large storage and results in a slow search (of the order of  $300\times$  slower than real time for a 100 000-entry codebook in a 40-MFLOP computer; Schroeter and Sondhi, 1994); and the difficulty of constructing a good codebook. Generating the codebook is a careful process that requires:

- A method to obtain training vectors that adequately span both the articulatory and the acoustic spaces. This can be done in two ways:
  - From measurements (e.g. X-rays): the difficulty is to thoroughly span the articulatory space, since such measurements are a finite collection of one-dimensional trajectories designed in the acoustic space.
  - By finely sampling an articulatory model: this needs to eliminate unfeasible shapes. Another way is to interpolate along an articulatory trajectory determined by some predefined, “root” shapes, but this usually leaves areas of the articulatory space uncovered.
- A method to cluster the training vectors. Quantisation is attained by a clustering algorithm, which can be complicated and time-consuming depending on the acoustic distance used. For example, an intermediate point between two sample points may be associated with an unfeasible articulatory configuration and so standard  $k$ -means does not work.
- A definition of distances in both spaces, articulatory and acoustic.

**Global parametric mappings** with more or less sophisticated architectures, such as polynomials, radial basis functions or neural networks (trained with codebook vectors or measured data). Neural networks are faster and more compact than codebooks, but produce worse mappings, which is not surprising in view of the nonuniqueness of the mapping (section 7.3.4). An example using neural networks (though not the first one) was that of Papcun et al. (1992), described in section 10.1.1.6.

**Methods based on local acoustic-to-articulatory mappings** These methods try to split the acoustic space into regions such that each region maps one-to-one to a corresponding region in the articulatory space (branch determination step). Inside each region, a function approximator is used, trained in a supervised way using the data (or codebook) vectors that fall in the region. In particular, Rahim et al. (1993) (building on unpublished work by Parthasarathy and Sondhi described by Schroeter and Sondhi, 1994) cluster the training data as described in section 7.11.2.1 resulting in  $N_{\mathbf{y}} = 32$  acoustic clusters each containing  $N_{\mathbf{x}} = 4$  articulatory subclusters. They then fit a different MLP with 26 hidden units in each of the  $N_{\mathbf{x}}N_{\mathbf{y}} = 128$  clusters. At the dynamic programming search stage (which is carried out every 15 pitch periods), first the centroids of the  $N_{\mathbf{x}} = 4$  clusters are searched for the one closest to a given acoustic vector; then the  $N_{\mathbf{y}} = 32$  mappings for the selected acoustic cluster are used to compute  $N_{\mathbf{y}}$  mapped articulatory vectors, which are declared as possible candidates. Rahim et al. claim that the ensemble is 20 times more efficient than a codebook method both in memory and lookup time with results of similar quality. An extra advantage over the codebook is that, after having been trained using the codebook, the MLPs can be bootstrapped from natural speech. The disadvantages of this method were mentioned in section 7.11.2.1:

- There is no guarantee that the local mappings are one-to-one inside every region, and determining the regions is difficult in high dimensions.
- $N_{\mathbf{x}}$  and  $N_{\mathbf{y}}$  are ad-hoc parameters (e.g. given an acoustic vector, why should there be precisely  $N_{\mathbf{y}}$  candidates?).
- Training the MLP ensemble is difficult. Rahim et al. try several heuristic approaches to determine which MLP to adjust for a given speech frame.

Other methods have been recently proposed. In the analysis-by-synthesis technique of McGowan (1994) the articulatory model is the ASY articulatory synthesiser from the Haskins Laboratories (Rubin et al., 1981), which is based on the articulatory model of Mermelstein (1973). The acoustics are represented by the first three formants. A *task dynamics model* (Saltzman and Kelso, 1987) is added to further constrain the articulatory model: rather than articulatory trajectories, the task dynamics model uses “tract variables,” which are variables describing constriction locations and degrees (that are more relevant than other parts of the vocal tract for determining the acoustics). The complexity of the task dynamics model makes difficult the use of derivative-based optimisation methods such as gradient descent. Thus, optimisation is performed by a genetic algorithm (Goldberg, 1989) with fitness =  $1/\text{error}$ , where the error is the sum of squared errors for the first

three formants. A disadvantage of genetic algorithms is that the parameters for optimisation must be coded into finite length strings (*chromosomes*) and this discretises the parameter space (to 6 bits per variable in his case). Using simulated data for /əbæ/ and /ədæ/ the method recovers most parts of an original articulatory trajectory but has trouble in obtaining precise timing, which is imputed to the lack of additional acoustic information, such as the RMS amplitude.

The approach of Sorokin and collaborators (see Sorokin, 1992; Sorokin et al., 2000 and references therein) is also an analysis-by-synthesis technique, where the inversion is considered from the point of view of standard regularisation theory (Tikhonov and Arsenin, 1977) for ill-posed problems (see chapter 6). In regularisation theory, the ill-posedness of an inverse problem is broken by the use of constraints. Sorokin et al. (2000) propose several types of constraints, most of which have been implicitly or explicitly used earlier in the acoustic-to-articulatory mapping problem; these include bounds on muscle forces, articulatory parameters and area functions, mutual dependence of the articulatory parameters (i.e., low intrinsic dimensionality) or complexity of planning and programming motor commands. The Tikhonov regularisation framework results in a cost function of the form acoustic fitness plus articulatory constraints, just as in eq. (10.2), and so the approach does not differ much from dynamic programming search of articulatory codebooks. Sorokin et al. have proposed articulatory models for vowels and fricatives that take into account a condition of non-turbulent air flow, given by a threshold for the Reynolds number, and minimise muscle effort as in eq. (10.3); and specific optimisation algorithms, since they use the uniform ( $L_\infty$ ) norm as acoustic distance between the formants, which is not differentiable.

Yehia and Itakura (1996) consider a simplified version of the acoustic-to-articulatory mapping problem where the acoustics are given by the first  $M$  formant frequencies and the articulator configurations by the first  $2M$  coefficients of the Fourier cosine series expansion of the log-area function, for a known vocal tract length. Work by Mermelstein (1967) and Schroeder (1967) showed that a one-to-one relationship holds approximately between the first  $M$  odd Fourier coefficients of the area function and the first  $M$  formants and set to zero the  $M$  even Fourier coefficients, which are undetermined. Yehia and Itakura apply a quadratic cost function to the area function (representing minimal effort, like Sorokin, 1992) to obtain those  $M$  even coefficients; this is done at each frame, using the Newton-Raphson method, and no continuity constraint is used. Their results using a small corpus of French vowels are just barely better than those of Mermelstein (1967). This is not surprising since this approach simply converts the one-to-many mapping into a one-to-one mapping without even the guarantee that a solution branch is selected.

Based on the idea that speech production realises articulatory targets while subject to coarticulation, Blackburn and Young (2000) propose a method to produce a smooth articulatory trajectory given a time-aligned phonetic string. The trajectory is obtained from the requirement that it passes through *soft* regions of articulatory space given by each phoneme but keeping articulatory effort low. The soft regions are obtained by replacing the distribution of articulatory positions at the midpoint of a given phoneme (obtained from X-ray data) with an independent Gaussian distribution for each articulator. This is a variation of earlier work by Keating, who used *hard* windows (i.e., uniform distributions rather than Gaussian). The requirement that articulatory effort be low is attained by allowing the articulatory trajectory to under- or overshoot the midpoints (articulatory targets), resulting in a trajectory that is a smoothed version of the polygonal line joining the midpoints. This is in effect a coarticulation model, similar in its goal to that of Bakis (1993) (see section 10.1.4). The RMS errors for the recovered articulators' positions were around 35% of their standard deviation on the average. The selection of soft regions can be seen as a conditional mean method and one would expect it to perform similarly to an MLP with errorbars. Thus, for multimodal distributions, known to exist for the articulatory-to-acoustic mapping, the mean of the Gaussian may lie in an incorrect articulatory value.

Summarising, state-of-the-art articulatory inversion in terms of accuracy is obtained by dynamic programming search of a large, carefully constructed articulatory codebook, at an enormous computational cost in storage and time. A carefully prepared ensemble of neural networks approaches codebook performance and is fast.

#### 10.1.4 Speech recognition models that incorporate production information

Hidden Markov models and variants of them<sup>9</sup> are currently the unrivalled method for automatic speech recognition. Like neural networks, HMMs are complex generic statistical models that could be used for the description of many physical phenomena because the strong assumptions that they make can usually be overcome by hav-

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<sup>9</sup>In particular, hybrid recognisers based on neural nets and HMMs, which share the advantages of the two frameworks and often deliver superior performance (Bouclard and Morgan, 1994).

ing a large number of parameters and of training data. But there is a limit to what models based on acoustic information alone can do. The performance of HMMs degrades dramatically when the speech style changes, the speaker changes or there is noise<sup>10</sup>, all of which occur in spontaneous speech in natural environments. Several people (e.g. Rose et al., 1996, Deng et al., 1997 and Deng, 1998) have suggested the addition of e.g. linguistic or production information to acoustic models. In particular, the advantages of articulatory representations discussed earlier and the availability of articulatory data from X-ray and EMA measurements have recently led to several models that incorporate articulatory constraints (not necessarily approaching the articulatory inversion). We briefly review some here.

Zlokarnik (1995a) has provided empirical evidence that straightforward addition of articulatory information to an acoustic HMM improves recognition performance. Simultaneously recorded acoustic and articulatory data (the positions of several articulators, recorded by EMA) were combined to make up an acoustic-articulatory feature vector on a speaker-dependent isolated word recognition task with an HMM. Compared with a purely acoustic HMM, using acoustic and articulatory data both for training and testing reduced the error rate by 60%; and using articulatory measurements only during the training and implementing an acoustic-to-articulatory mapping with an MLP during the testing phase, the error rate could be reduced by a relative percentage of 18% to 25%. In another experiment, Zlokarnik (1995b) showed that of the first three time derivatives (velocities, accelerations and jerks) of the articulators' positions, accelerations perform best for ASR. Although this is surprising, since in the acoustic domain, acceleration features perform worse than static features, it confirms the importance of the role of articulatory forces in speech production.

Other variations of HMMs that use articulatory information in more sophisticated ways (e.g. forbidding transitions) were discussed in section 7.11.5. But, given the continuous nature of the temporal variation of the articulators, it seems more natural to use models of the style of Kalman filters rather than HMMs.

Bakis (1993) (see also Bakis, 1991) proposed a generic speech production model that can be seen as an acoustic recognition model, such as an HMM, augmented by an analysis-by-synthesis technique. Given an acoustic waveform to be recognised, the acoustic model proposes a hypothesis, i.e., a phoneme transcription. An abstract, deterministic articulatory model then takes as input this transcription and synthesises acoustic features that can be compared with similar features computed from the actual speech. The abstract articulatory model works as follows. First, the phonetic string is transformed into an *idealised target path* in a multidimensional Euclidean space via a table lookup; this path is piecewise constant, with abrupt transitions at phoneme boundaries. Then, this path is transformed into a *realised articulatory path* in the same multidimensional Euclidean space via convolution with a FIR filter; this path is a smoothed version of the target path and results in bounded first and second derivatives of the articulators' motion (and in correspondingly bounded forces), thus modelling coarticulation. Finally, acoustic vectors are generated from the realised articulatory path via a neural network in the form of MFCCs or any other suitable acoustic representation. Therefore, the details of the vocal tract model are left to be determined empirically from data and only the general properties are specified: coarticulation is implemented by an empirical FIR filter (with memory) rather than described in terms of masses, forces and viscous damping; and the articulatory-to-acoustic mapping is implemented by an empirical neural network (memoryless nonlinear function) rather than derived from Webster's horn equation. The components of both the acoustic model (HMM) and the articulatory model (lookup table, filter, neural net) are parametric. The parameters are adjusted from prior knowledge and empirical information to minimise the mean square error of the acoustic vectors (using conjugate gradients, the gradient being computed by the chain rule). Further prior knowledge can be included as penalty terms on the parameters in the objective function. The time-aligned phonetic transcription is given as input at training time, while at recognition time it is proposed as a hypothesis to be tested.

In this model, then, the abstract space consists of a finite collection of targets—basically, an articulatory codebook—that acts as a scaffolding on which to create smooth trajectories. An important problem is thus how to select the dimensionality of the articulatory space and the number of phonetic targets, which must be given by the user. Presumably the number of phonetic targets is related to the number of different phonemes in the language or training set under consideration, but it needs not be necessarily equal (e.g. consider the case of diphthongs and allophones). Determining the dimensionality of the articulatory space is probably a similar problem to that of determining the map dimensionality in multidimensional scaling (section 4.10.1.1).

Bakis seems never to have implemented this interesting model in practice. Recently, Richards and Bridle (1999) have implemented essentially the same model, with two minor variations: the abstract articulatory model (which they rename *hidden dynamic model*) and the acoustic model are not trained jointly, but become

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<sup>10</sup>In section 7.10.6 we described some research on occluded speech recognition based purely on acoustic models. Also, several techniques have been devised in the speech recognition literature that partially alleviate the problem of speaker adaptation, e.g. by maximum a-posteriori estimation (Gauvain and Lee, 1994) or maximum likelihood linear regression (Leggetter and Woodland, 1995).

separate entities, with the articulatory model being used to rescore  $N$ -best lists of hypotheses; and the realised articulatory path is obtained via a second-order symmetrical (forward-backward) low-pass filter with one time constant per articulatory dimension and phonetic target. This filter, which is a simple form of Kalman smoother, controls how much to undershoot a target: the larger the time constant, the more undershooting and smoothing; in the zero limit, the transitions are discontinuous and there is no smoothing. The filter is symmetrical so that the centre of transitions occurs at phone boundaries. Thus, the articulatory model remains deterministic and does not deal with time alignment (unknown time scales in phone durations). Richards and Bridle also show the necessity of a nonlinear articulatory-to-acoustic mapping: if a linear one is used instead, the model fails to produce continuous transitions. In an evaluation of the approach with a conversational speech recognition task with the Switchboard corpus (Picone et al., 1999), improvements in terms of word error rate compared to a standard acoustic HMM only occur if, as well as the most likely hypotheses, the reference transcription is given (which is unavailable in practice). This proves that the articulatory model has information not in the acoustic HMM, although it remains to be seen how to actually use it.

Deng (1998) has proposed a stochastic approach combining the two contrasting aspects of speech: phonological (characterised by the discrete nature of phonemes) and phonetic (characterised by the continuous nature of the vocal tract). The basic structure of the model is the same as that of Bakis (1993): the phonemic string is realised in a continuous, dynamical system and nonlinearly mapped onto the acoustic, observable features. Specifically, the model consists of the following levels: (1) a language model which provides the probability for an arbitrary word sequence  $p(W = w_1 \cdots w_N)$ ; (2) a phonological or pronunciation model based, rather than on phones (as most speech recognisers are), on overlapping features (Browman and Goldstein, 1992), which provides the probability  $p(F|W)$  for a feature-overlapping pattern  $F$  of an entire utterance given its word sequence; and (3) a phonetic model which provides the probability  $p(O|F, W)$  of an observed acoustic trajectory  $O$ , based on the task dynamics model of Saltzman and Kelso (1987), which is implemented with a smooth linear dynamical system (with memory) and a nonlinear articulatory-to-acoustic mapping (memoryless, such as an MLP or RBF net). Consequently, inference about the word transcription  $W$  given the acoustics  $O$  is done via Bayes' rule as in HMMs. But here the dependence of the acoustic sequence on the word sequence is more complex, involving the intermediate stage of continuous variables at the phonetic level where the articulatory constraints are applied. This complex stochastic model, containing nonlinear functions and dynamical systems, seems to be trainable for maximum likelihood given observed acoustic data by a generalised EM algorithm—a surprising fact in view of the intractability that is invariably associated with the marginalisation of complex distributions. An evaluation of a version of this model with the mentioned Switchboard corpus (Picone et al., 1999) gave very similar results to those of the hidden dynamic model implemented by Richards and Bridle (1999).

King and Wrench (1999) and Frankel et al. (2000) have modelled the articulatory trajectories with a linear dynamical model (of 4 to 13 dimensions for the hidden space) and implemented the inversion mapping with a neural network similar to that of Papcun et al. (1992) but with the addition of recurrence via context units in a hidden layer, which results in smoother trajectories. They have used TIMIT sentences with acoustic and EMA data from the MOCHA database (section 7.10.5) in a recognition task. The results using acoustics plus recovered articulators' positions were considerably worse than using acoustics plus the real articulatory data or just using acoustic HMMs. One possible reason they adduce for this is that the segmentation based on acoustic information (data forced-aligned with an HMM, which assumes that state and phone boundaries are strictly synchronised with articulatory events) differs from the segmentation based on articulator positions: they observed a slight asynchrony between changes in articulatory gestures and HMM-produced phone boundaries.

In summary, while the articulatory trajectories contain information that can be used to improve automatic speech recognition, the integration of production and acoustic models has so far not attained this goal.

## 10.2 Experiments with electropalatographic and acoustic data

At the time when this research was being carried out, we did not have access to articulatory data that appropriately represented the vocal tract, either synthetic (from an articulatory model) or measured (with X-ray or EMA). Instead, we used the electropalatographic (EPG) data from the ACCOR database, as in chapter 5, together with the simultaneously recorded acoustic waveform. The EPG characterises well the pattern of tongue-palate contact but is an incomplete representation of the vocal tract, and so many phonemes are indistinguishable in the EPG. For example, in fig. 5.6, the EPG labelled /æ/ can result from many other vowels (and even from silence intervals), while those of /g/ and /k/ or /t/ and /d/ are almost interchangeable. Conversely, from the nonuniqueness of the acoustic-to-articulatory mapping it is also reasonable to assume that in certain cases one phoneme may be produced with more than one different EPG. Consequently, our

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