

# Interpretable Image Classification Using Sparse Oblique Decision Trees

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- Interpreting the image datasets is a difficult task, as each image contains a lot of irrelevant data. This makes it hard to understand what part of the image is important or what common concept defines a particular category of the class.
- We do not know how the classes are distributed in the input space; is one class closer to another class, or how two classes or group of classes differentiate with each other; or if there is any sub-groups exist in a given class, if yes what is the difference them; or the selected features are optimal for a class, or sub-group of a class, or even a single instance?
- We address these issues by using sparse oblique trees as a tool to understand the given image dataset.

- Axis-aligned trees are interpretable only for a small dataset, but as the dataset size increases, their size grows by a large margin, making them challenging to interpret.
- Unlike axis-aligned trees that operate only on a single feature at each node, the sparse oblique tree operates on a small, learnable subset of features.
- Sparse oblique trees are not only accurate but also very interpretable.

# Tree alternating optimization (TAO)

- Traditionally, decision trees have been trained with a recursive partition procedure, such as CART and C4.5. However, this produces sub-optimal trees and does not work well with oblique trees.
- Tree alternating optimization (TAO) [1] is a recently proposed algorithm that can achieve highly accurate oblique or axis-aligned trees.
- TAO can learn far more accurate oblique trees that remain small and very interpretable.
- TAO has been shown to outperform existing tree algorithms by a large margin [2], and to improve forests [3].

- Train a sparse oblique tree using TAO and pick the sparsity parameter such that the resultant tree is as sparse as possible but remains accurate enough.
- Use the weights of decision nodes to extract relevant features from the dataset.

# Extracting features using weights of decision nodes

- Consider a decision node  $i$  in  $T$  with decision rule:
  - “if  $\mathbf{w}_i^T \mathbf{x} + b_i \geq 0$  then go to right child, else go to left child”
    - $\mathbf{w}_i \in \mathbb{R}^D$  is the weight vector.
    - $b_i \in \mathbb{R}$  is the bias.
    - $\mathbf{x} \in \mathbb{R}^D$  is the input.
- Write  $\mathbf{w}$  as:  $\mathbf{w} = (\mathbf{w}_0 \ \mathbf{w}_- \ \mathbf{w}_+)$ 
  - $\mathbf{w}_0 = 0$ .
  - $\mathbf{w}_- < 0$ .
  - $\mathbf{w}_+ > 0$ .
- Call  $\mathcal{S}_0$ ,  $\mathcal{S}_-$  and  $\mathcal{S}_+$  the corresponding sets of indices in  $\mathbf{w}$ .

# Extracting features using weights of decision nodes

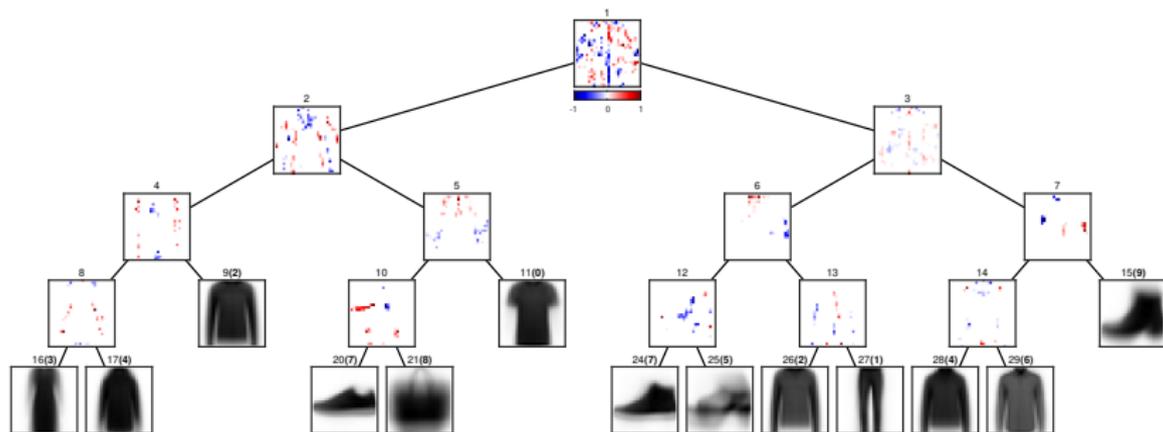
- Consider input  $\mathbf{x} \in \mathbb{R}^D$ :
  - If  $\mathbf{x}$  goes right, we represent the feature selected as a binary vector  $\mu_+ \in \{0, 1\}^d$ , containing ones only at  $\mathcal{S}_+$ .
  - If  $\mathbf{x}$  goes left, we represent the feature selected as a binary vector  $\mu_- \in \{0, 1\}^d$ , containing ones only at  $\mathcal{S}_-$ .
- We call  $\mu^+$  and  $\mu^-$  the NODE-FEATURES, where location of one represents features selected by  $\mathbf{w}$ .

# Interpret dataset using NODE-FEATURES

- For each decision node NODE-FEATURES represents the features related to left and right subtree. By using NODE-FEATURES, we can understand what set of features separate a group of classes.
- Features associated with a class  $k$ : for each node in the path from the root to leaf for class  $k$  collect NODE-FEATURES, and at the end take logical OR of all NODE-FEATURES. If there is more than one leaf for class  $k$ , take the union of all the features selected.
- For features specific to a given an input  $x$ , repeat the process as above, but only for the leaf containing the input  $x$ . Next, keep only those features that are active in the  $x$ .

We can plot these features to visualize what concept is captured by these features.

# Fashion-MNIST dataset



# Difference between pair of classes

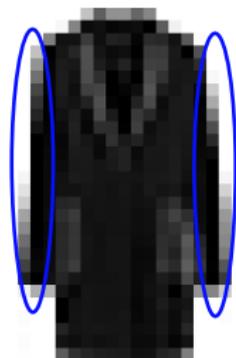
Decision  
node # 8



dress class  
leaf # 16

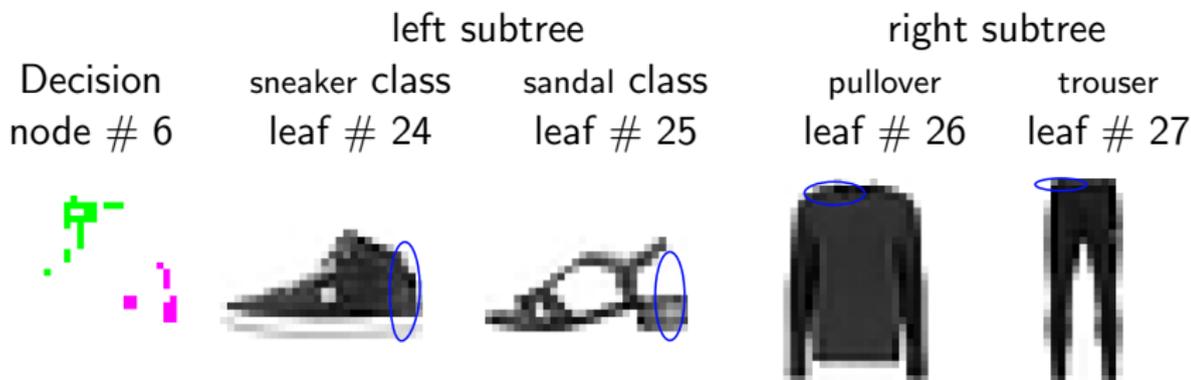


coat class  
leaf # 17



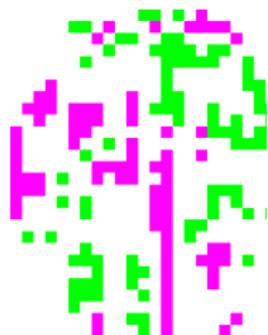
**Figure:** Magenta color represents negative weights (left child) and green color represents positive weights (right child).

# Difference among group of classes



# Feature selection for a given instance

Decision node  
weight



weights along  
path  
node # 1, left child

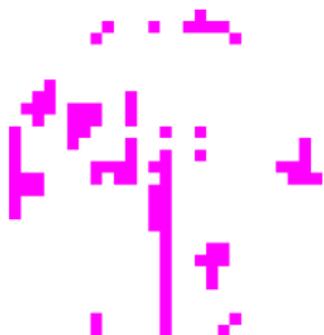
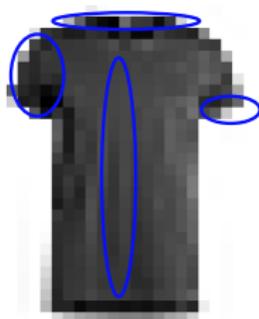


image part  
selected



# Feature selection for a given instance

Decision node  
weight



weights along  
path  
node # 2, right child

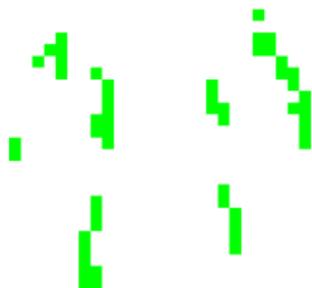
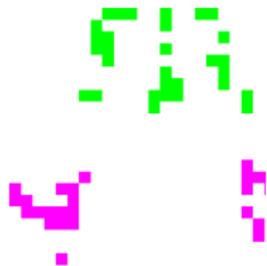


image part  
selected



# Feature selection for a given instance

Decision node  
weight



weights along  
path  
node # 5, right child



image part  
selected



- Sparse oblique trees can be used as an accurate yet interpretable model.
- Weights of the decision nodes can explain the underlying difference between classes, sub-group of a class, or group of classes.
- Using the hierarchical structure of the oblique tree, we can extract features that are tailored not only to class but also for specific instances.

- Miguel Á. Carreira-Perpiñán and Pooya Tavallali, “Alternating optimization of decision trees, with application to learning sparse oblique trees,” in *NEURIPS 2018*.
- Arman Zharmagambetov, Suryabhan Singh Hada, Magzhan Gabidolla, and Miguel Á. Carreira-Perpiñán, “Non-greedy algorithms for decision tree optimization: An experimental comparison,” in *IJCNN 2021*.
- Miguel Á. Carreira-Perpiñán and Arman Zharmagambetov, “Ensembles of bagged TAO trees consistently improve over random forests, AdaBoost and gradient boosting,” in *FODS 2020*.