

LEARNING SUPERVISED BINARY HASHING WITHOUT BINARY CODE OPTIMIZATION Miguel Á. Carreira-Perpiñán and Ramin Raziperchikolaei, EECS, UC Merced

Abstract

The goal of binary hashing is to learn a hash function that maps high-Optimizing $\mathcal{L}(\mathbf{h})$ is difficult because **h** is discrete. Many optimizationdimensional points to bit codes, which can be used to speed up search based methods have been considered in the binary hashing literature on large databases. Most papers use optimization approaches based to optimize the objective approximately. on a suitable objective function with a difficult and inexact optimization. Limitations of the optimization-based methods: Recently, it has been shown that the hash function for a code bit may • The hash function outputs binary values, hence the problem is be learned independently from that of the other code bits. One simply nonconvex and nonsmooth. The underlying problem of finding optimizes a single-bit objective function defined on a random data samthe binary codes for the points is an NP-complete optimization ple, and then fits a binary classifier to the resulting codes. We show over *Nb* variables. that it is even possible to dispense with the single-bit optimization, by • They do not scale beyond a few thousand training points. assigning binary codes to the points based on their similarity to a ran-• The b single-bit hash functions are coupled (to avoid trivial domly chosen seed point. This procedure is very simple, scalable, and solutions where all codes are the same). is competitive with the state-of-the-art methods in retrieval metrics.

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2 **Binary hash functions for fast image retrieval**

In K nearest neighbors problem, there are N training points in Ddimensional space (usually D > 100) $\mathbf{x}_i \in \mathbb{R}^D$, i = 1, ..., N. The goal is to find the K nearest neighbors of a query point $\mathbf{x}_q \in \mathbb{R}^D$. Exact search in the original space is $\mathcal{O}(ND)$ in time and space.

A binary hash function h takes as input a high-dimensional vector $\mathbf{x} \in \mathbb{R}^{D}$ and maps it to an *b*-bit vector $z = h(x) \in \{0, 1\}^{b}$.

main goal is preserving The the neighborhood, i.e., assign (dis)similar codes to (dis)similar patterns.



In supervised hashing, we try to preserve the semantic similarity between the images (e.g. images from different view points are similar, while they are far in the Euclidean space).

Finding K nearest neighbors in Hamming space needs $\mathcal{O}(Nb)$ in time and space. Distances can be computed efficiently using binary operations.

$N = 10^{9}$, <i>D</i> = 500 and
Search in	Space
• • • •	

Ocaron m	Opace
Original space	2 TB
Hamming space	8 GB

3 **Affinity-based objective functions**

Most hashing papers try to minimize an affinity-based objective, which directly tries to preserve the original similarities in the binary space:

min $\mathcal{L}(\mathbf{h}) = \sum_{n,m=1}^{N} L(\mathbf{h}(\mathbf{x}_n), \mathbf{h}(\mathbf{x}_m); y_{nm})$

where $\mathbf{x}_i \in \mathbb{R}^D$ is the *i*th input data, **h** is the parameters of the hash function, $L(\cdot)$ is a loss function that compares the codes for two images with the ground-truth value y_{nm} that measures the affinity in the original space between the two images \mathbf{x}_n and \mathbf{x}_m . Many such loss functions $L(\mathbf{z}_n, \mathbf{z}_m; y_{nm})$ exist, e.g.:

KSH: $(\mathbf{z}_n^T \mathbf{z}_m - b \mathbf{y}_{nm})^2$

Laplacian: $(y_{nm} || \mathbf{z}_n - \mathbf{z}_m ||^2)$

Codes 110100

100100

b = 64

Time 1 hour 10 seconds

4 An ensemble diversity approach

- In the end, there is little practical difference between the different objective functions and optimization algorithms proposed. Is optimizing all the functions jointly crucial anyway? In fact, it isn't.

Rather than coupling the *b* hash functions into a single objective function, a recent method, Independent Laplacian Hashing (ILH) (Carreira-Perpiñán and Raziperchikolaei, NIPS 2016), proposed to train each hash function independently from each other.

To get good retrieval results, the single-bit hash functions have to be different from each other. ILH uses the ensemble learning techniques to make the hash functions different from each other. In ILH, the best results were achieved when different training subsets are used. We consider this mechanism to make the functions diverse.

ILH minimizes $\mathcal{L}(\mathbf{h})$ over a single-bit hash function which gives the following optimization problem:

$$\min_{\mathbf{h}} P(\mathbf{h}) = \mathbf{h}(\mathbf{X}) \mathbf{Y} \mathbf{h}(\mathbf{X})^{T} = \sum_{n,m=1}^{N} y_{nm} h$$

where $\mathbf{h}(\mathbf{X}) = (h(\mathbf{x}_1), \ldots, h(\mathbf{x}_N)) \in \{-1, +1\}^N$ is a row vector of N bits, $h(\mathbf{x}_n) = \Box(\mathbf{w}^T \mathbf{x}_n)$, and $\Box(t) = +1$ if $t \ge 0$ and -1 if t < 0.

The ensemble-based approach gives several advantages:

- The ensemble-based approach is better or comparable to the optimization-based methods in terms of retrieval performance.
- Much simpler optimization: ILH deals with b independent problems each over N binary codes rather than 1 problem with *Nb* binary codes.
- Hence, faster training and better accuracy, because ILH deals with optimization problems of a smaller size.
- Training the b functions can be parallelized: this helps to learn a large number of single-bit functions very fast. One can then use pruning to select a small subset of them that has comparable retrieval performance.

In this paper we show that it is even possible to dispense with the single-bit optimization, by assigning binary codes to the points based on their similarity to a set of randomly chosen seed points.

 $h(\mathbf{x}_n) h(\mathbf{x}_m)$

5 Independent Supervised Hashing (ISH)

there are just two possible codes, +1 and -1.

SVM or any other classifier to use as single-bit hash function.

Advantages of the Independent Supervised Hashing (ISH):

- It is very simple, requiring only the similarity values and training binary classifiers. • Like ILH, ISH is embarrassingly parallel over the b bits, and suitable for
- implementation in a distributed-data setting.
- It is faster than ILH, because it eliminates the NP-complete optimization of the single-bit objective function, and much faster than approaches that optimize over the *b* bits for the entire dataset jointly.
- It scales to bigger datasets, as big as long as we are able to train a binary classifier on them, while ILH is limited because of the NP-complete optimization. • ISH learns hash functions comparable to the state-of-the-art. The precision of ISH consistently increases as more bits are added over a range of *b* values.

Experiments

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groundtrurh is defiend based on the labels of the images. than the hashing methods, but is inapplicable to the Infinite COIL dataset.



- While in the *b*-bit case the binary code space can have 2^{b} different codes, with b = 1
- The goal of the single-bit objective function: if image \mathbf{x}_n is similar (dissimilar) to the image \mathbf{x}_m then ideally \mathbf{x}_n and \mathbf{x}_m should have the same (different) binary code(s).
- ISH first picks a point \mathbf{x}_n (the "seed") and finds a sample \mathcal{S}_+ of points that are similar to \mathbf{x}_n ($y_{nm} > 0$) and a sample \mathcal{S}_- of points that are dissimilar to \mathbf{x}_n ($y_{nm} < 0$). This defines a two-class problem on the training set $S_+ \cup S_-$, on which ISH trains a linear

- Infinite MNIST contains 1 000 000/2 000 images for training/test, in 10 classes. The
- Infinite COIL contains 140 440/5 000 images as training/test. The groundtruth is defined based on both the class labels and the angles of the objects in Infinite COIL.
- We compare the following methods. (1) ISH, selecting the seeds randomly to create the training set. (2) ISH-clever: selects seeds by cycling over the C classes in the labeled datasets. (3) SVM-class: for the labeled datasets with C labels, we train C one-vs-all classifiers and report the classification accuracy. (4) SVM-Hamming: We use the C one-vs-all classifiers of SVM-class as the hash functions. (5) ILH.
- When the ground-truth is given by the class label, SVM-class gives better precision
- ILH and ISH outperform SVM-Hamming and optimization-based methods. They are comparable in different datasets, sometimes a bit better, sometimes a bit worse.